

# Can we use large language models to simulate human beings for social science?

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### Joint work with

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# My goal for you:

+ Haunted by the question...

"Have large language models learned enough about the ideas and behaviors of humans to simulate them in surveys, experiments, etc.?"

# My goal for me:

- + New friends
- + Have some new answers to "Is this good science?"

# Setting Up: Consider 2 ideas

## Idea 1

- + Social science is important!
- + Social science is expensive!
- + Social science is hard!

### Idea 2

- + Large language models (LLMs) learn a lot
- + LLMs learn pathological biases (Uniform property)
- + But! LLMs are conditional
- + What if we can leverage algorithmic bias?

# The idea

### Idea 1 + Idea 2

+ Simulate individuals with large pre-trained language models--*leveraging* their algorithmic bias--for use in social science.

# Simulating humans with LLMs

#### + Much cheaper

Machine time instead of human time

Spend less or scale up

Quicker iterations on survey design

# Simulating humans with LLMs

#### + Do the impossible

Simulate whoever you want (even prospective populations)

Bypass desirability bias

Reach unreachable populations

# Skeptical that LLMs have what it takes?



# Four criteria for algorithmic fidelity

- 1. Social Science Turing Test
- 2. Backward Continuity
- 3. Forward Continuity
- 4. Pattern Correspondence

# Taking a stab at a specific domain:

- + Study 1: Free-form partisan text
- + Study 2: Vote Prediction
- + Study 3: Closed-ended questions and complex correlations in human data

+ Pigeonholing Partisans (Rothschild et al 2019)

Survey respondents describe Republicans and Democrats in 4 words.

#### **Describing Democrats**

#### **Describing Republicans**

Strong Republicans

Ideologically, I describe myself as <u>conservative</u>. Politically, I am a <u>strong Republican</u>. Racially, I am <u>white</u>. I am <u>male</u>. Financially, I am <u>upper-class</u>. In terms of my age, I am <u>young</u>. When I am asked to write down four words that typically describe people who support the <u>Democratic</u> Party, I respond with: 1. **Liberal 2. Socialist 3. Communist 4. Atheist.** 

Ideologically, I describe myself as <u>conservative</u>. Politically, I am a <u>strong Republican</u>. Racially, I am <u>white</u>. I am <u>male</u>. When I am asked to write down four words that typically describe people who support the <u>Republican Party</u>, I respond with: 1. Conservative 2. Male 3. White (or Caucasian) 4. Christian.

Strong Democrats

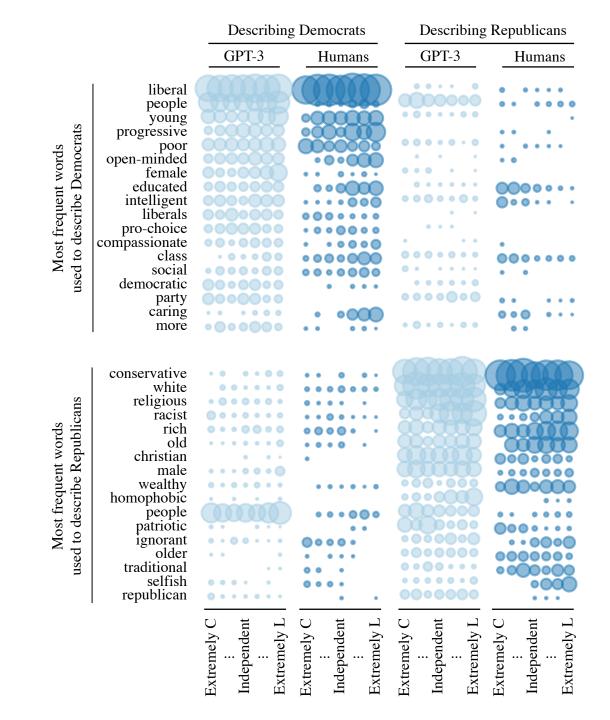
Ideologically, I describe myself as <u>liberal</u>. Politically, I am a <u>strong Democrat</u>. Racially, I am <u>white</u>. I am <u>female</u>. Financially, I am <u>poor</u>. In terms of my age, I am <u>old</u>. When I am asked to write down four words that typically describe people who support the <u>Democratic</u> Party, I respond with: 1. **Liberal. 2. Young. 3. Female. 4. Poor.** 

Ideologically, I describe myself as <u>extremely liberal</u>. Politically, I am a <u>strong Democrat</u>. Racially, I am <u>hispanic</u>. I am <u>male</u>. Financially, I am <u>upper-class</u>. In terms of my age, I am <u>middle-aged</u>. When I am asked to write down four words that typically describe people who support the <u>Republican Party</u>, I respond with: 1. **Ignorant 2. Racist 3. Misogynist 4. Homophobic.** 

- + Question: Do simulated partisans generate similar lists as real partisans?
- + We simulate the Rothschild partisans, and ask humans to distinguish the lists.

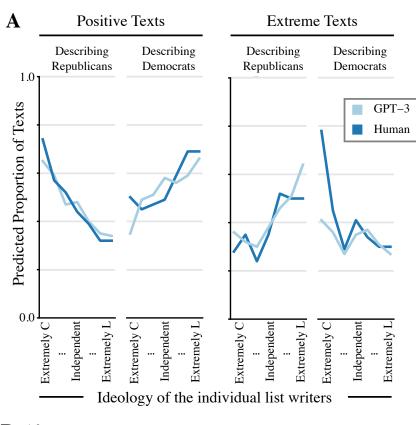
(Party ID, positivity, extremity, traits, groups, ideas)

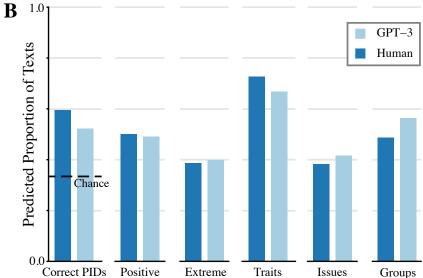
+ Compare distributions of human vs. simulated human words



- + Hired 2873 individuals through survey platform Lucid to evaluate
- + 7675 texts produced by GPT-3 and humans.
- + Each individual evaluated 8 randomly assigned lists.

+ Compare distributions of attribute assessment by humans





- + American National Election Studies (ANES) survey: premier public opinion data.
- + Simulate "votes" of these individuals by conditioning GPT-3 on demographics (race, gender, age, ideology, party, etc.) and ending a prompt with "In {YEAR}, I voted for"

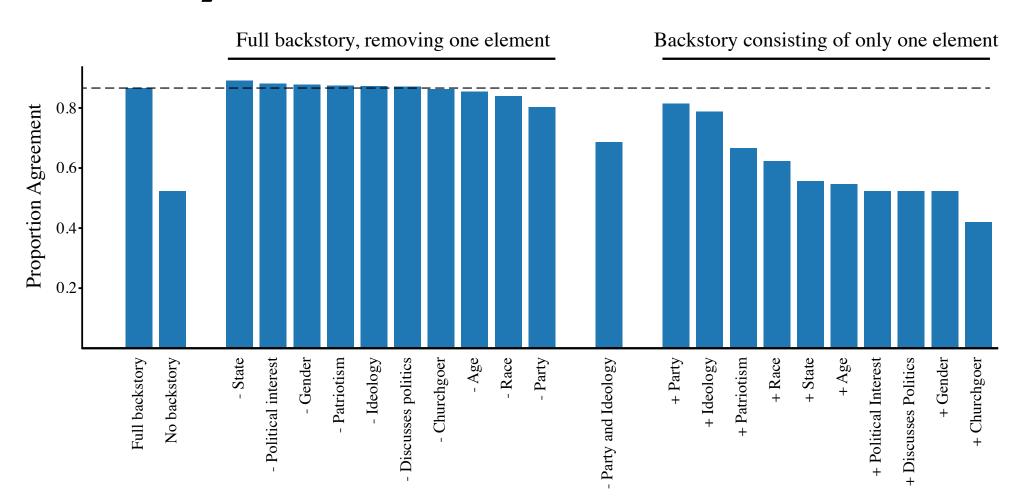
+ Example prompts

Examples of ANES 2016 Backstories	Predicted Vote	
	Trump	Clinton
Racially, I am white. I like to discuss politics with my family and friends. Politically, I am a strong Republican. I attend church. I am 29 years old. I am a man. I am somewhat interested in politics. It makes me feel extremely good to see the American flag. I am from Louisiana. In the 2016 presidential election, I voted for	96%	4%
Racially, I am white. I like to discuss politics with my family and friends. Ideologically, I am slightly conservative. Politically, I am a weak Republican. I do not attend church. I am 26 years old. I am a man. I am very interested in politics. It makes me feel extremely good to see the American flag. I am from Arkansas. In the 2016 presidential election, I voted for	77%	23%
I like to discuss politics with my family and friends. Ideologically, I am moderate. Politically, I am an independent who leans Republican. I attend church. I am 45 years old. I am a man. I am somewhat interested in politics. It makes me feel extremely good to see the American flag. I am from Texas. In the 2016 presidential election, I voted for	75%	25%
Racially, I am white. I like to discuss politics with my family and friends. Ideologically, I am slightly liberal. Politically, I am an independent who leans Democratic. I attend church. I am 30 years old. I am a woman. I am somewhat interested in politics. It makes me feel extremely good to see the American flag. I am from Mississippi. In the 2016 presidential election, I voted for	24%	76%
Racially, I am white. I never discuss politics with my family or friends. Politically, I am an independent who leans Democratic. I do not attend church. I am 23 years old. I am a man. I am not very interested in politics. It makes me feel moderately good to see the American flag. I am from Mississippi. In the 2016 presidential election, I voted for	26%	74%
Racially, I am black. I never discuss politics with my family or friends. Politically, I am a strong democrat. I attend church. I am 58 years old. I am a man. I am not very interested in politics. It makes me feel extremely good to see the American flag. I am from New York. In the 2016 presidential election, I voted for	11%	89%

Year	Candidate	P_ANES	P_GPT3
2012	Romney	0.404	0.391
2016	Trump	0.477	0.432
2020	Trump	0.412	0.472

+ Correlations and agreements between ANES votes and GPT-3 votes

Variable	2012	2012	2016	2016	2020	2020
	Tetra.	Prop. Agree	Tetra.	Prop. Agree	Tetra.	Prop. Agree
Whole sample	0.90	0.85	0.92	0.87	0.94	0.89
Men	0.90	0.85	0.93	0.88	0.95	0.88
Women	0.91	0.86	0.92	0.86	0.94	0.90
Strong partisans	0.99	0.97	1.00	0.97	1.00	0.97
Weak partisans	0.73	0.74	0.71	0.74	0.84	0.82
Leaners	0.90	0.85	0.93	0.87	0.95	0.89
Independents	0.31	0.59	0.41	0.62	0.02	0.53
Conservatives	0.84	0.84	0.88	0.86	0.91	0.89
Moderates	0.65	0.77	0.76	0.78	0.71	0.77
Liberals	0.81	0.95	0.73	0.95	0.86	0.97
Whites	0.87	0.82	0.91	0.85	0.94	0.89
Blacks	0.71	0.97	0.87	0.96	0.81	0.94
Hispanics	0.86	0.86	0.93	0.90	0.88	0.83
Attends church	0.91	0.86	0.93	0.88	0.94	0.88
Doesn't attend church	0.88	0.85	0.90	0.85	0.93	0.90
High interest in politics	0.95	0.90	0.97	0.93	0.97	0.92
Low interest in politics	0.71	0.74	0.75	0.75	0.83	0.81
Discusses politics	0.92	0.87	0.94	0.88	0.95	0.90
Doesn't discuss politics	0.83	0.82	0.81	0.79	0.80	0.79
18 to 30 years old	0.90	0.87	0.90	0.86	0.90	0.87
31 to 45 years old	0.90	0.85	0.92	0.87	0.94	0.90
46 to 60 years old	0.90	0.86	0.92	0.86	0.92	0.87
Over 60	0.90	0.85	0.93	0.87	0.96	0.91



# Study 3: Closed-ended Questions and Complex Correlations in Human Data

+ Hold out one demographic at a time, passing the rest into context, and infer the held-out demographic.

# Study 3: Closedended **Questions** and Complex **Correlations in Human Data**

Interviewer: What is your gender? Are you "male" or "female"?

Me: male

Interviewer: I am going to read you a list of four race categories. What race do you consider

yourself to be? "White", "Black", "Asian", or "Hispanic"?

Me: white

Interviewer: What is your age in years?

Me: 29

Interviewer: What is the highest level of school you have completed, or the highest degree you have received? Is it "high school", "some college", "a four-year college degree", or "an advanced degree"?

Me: high school

Interviewer: When you see the American flag flying, how does it make you feel? Does it make you feel "extremely good", "moderately good", "a little good", "neither good nor bad", "a little bad", "moderately bad", or "extremely bad"?

Me: extremely good

Interviewer: Do you ever discuss politics with your family and friends? Please respond with "yes" or "no".

Me: yes

Interviewer: How interested would you say you are in politics? Are you "very interested", "somewhat interested", "not very interested", or "not at all interested"?

Me: somewhat interested

Interviewer: Which would you say best describes your partisan identification. Would you say you are a "strong democrat", "not very strong democrat", "independent, but closer to the Democratic party", "independent", "independent, but closer to the Republican party", "not very strong Republican", or "strong Republican"?

Me: strong Republican

Interviewer: Did you vote in the 2016 general election? Please answer with "yes" or "no".

Me: yes

Interviewer: Which presidential candidate did you vote for in the 2016 presidential election, "Hillary Clinton", "Donald Trump", or "someone else"?

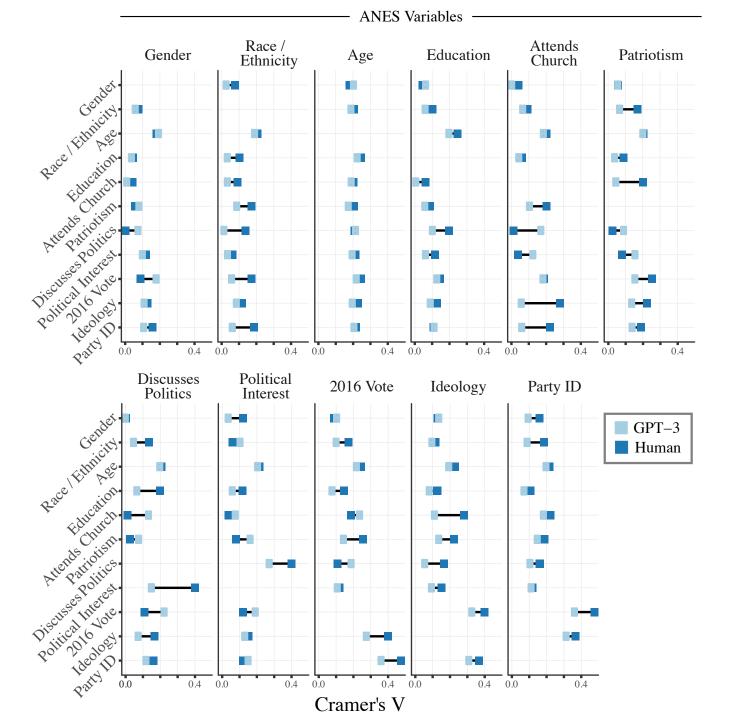
Me: Donald Trump

Interviewer: Lots of things come up that keep people from attending religious services even if they want to. Thinking about your life these days, do you ever attend religious services? Please respond with "yes" or "no".

Me: yes

### Study 3: Closed-ended Questions and Complex Correlations in Human Data

+ Cramer's V between all demographics for both humans and GPT-3



### **Future Work!**

- + Other domains (economics, culture, religion, etc.)
- + How to use LLMs where we don't have ground truth

  ACL 2022 paper using mutual information to estimate a prompt's quality
- + Other tasks

Theory generation, persuasive interventions, sandboxing surveys, looking for analogues in concept learning between humans and LLMs, opening the black box, gathering evidence for appraisal theory vs. constructionism, deradicalization, etc.

### Thanks! Please reach out!

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mastodon: not hip enough to be on here yet?