

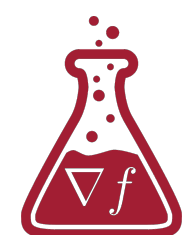
# Decomposing and Editing Predictions by Modeling Model Computation

Harshay Shah, Andrew Ilyas, Aleksander Mądry

ICML 2024



<https://arxiv.org/abs/2404.11534>



[gradientscience.org/modelcomponents](https://gradientscience.org/modelcomponents)



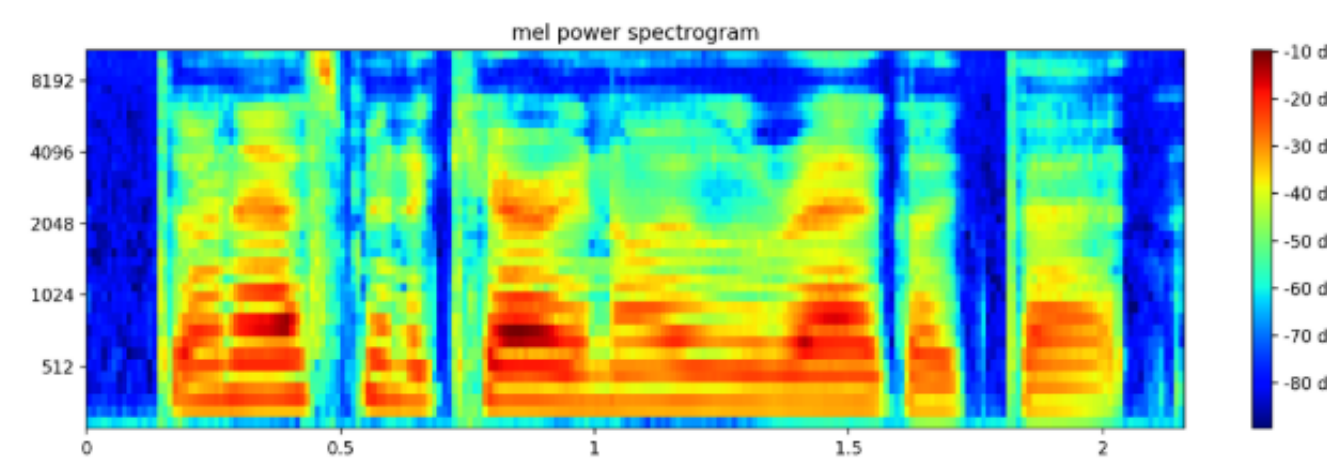
# Why study model predictions?

Tinker your ML pipeline 

Try to get SOTA results 12  
34




Input sentence:	Translation (PBMT):	Translation (GNMT):	Translation (human):
李克強此行將啟動中加總理年度對話機制。與加拿大總理杜魯多舉行兩國總理首次年度對話。	Li Keqiang premier added this line to start the annual dialogue mechanism with the Canadian Prime Minister Trudeau two prime ministers held its first annual session.	Li Keqiang will start the annual dialogue mechanism with Prime Minister Trudeau of Canada and hold the first annual dialogue between the two premiers.	Li Keqiang will initiate the annual dialogue mechanism between premiers of China and Canada during this visit, and hold the first annual dialogue with Premier Trudeau of Canada.



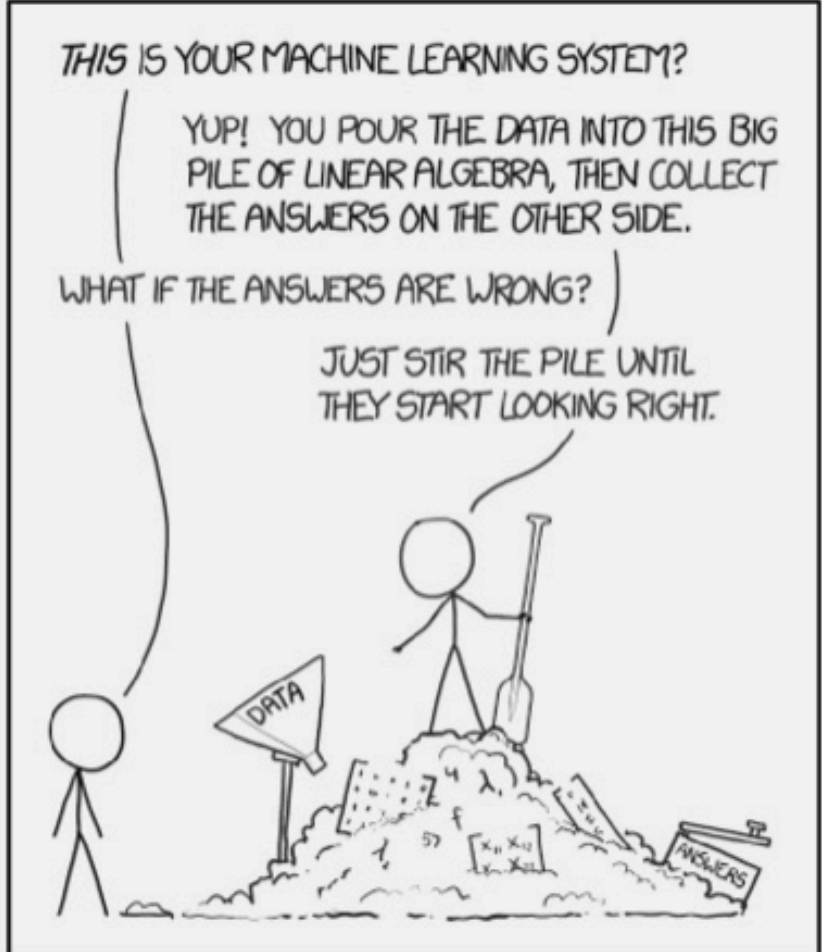
Repeat

# Why study model predictions?

**Tinker your ML pipeline** 

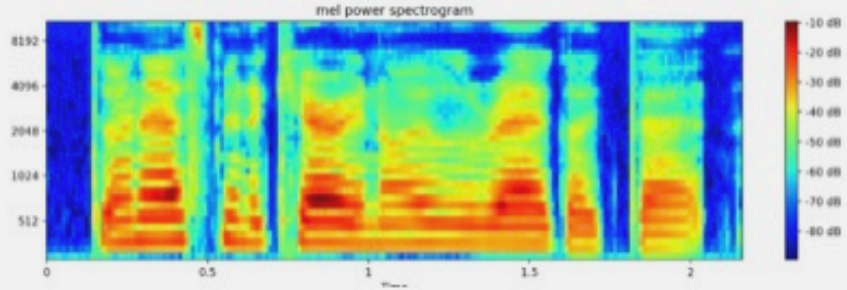
**Try to get SOTA results** 1 2 3 4


THIS IS YOUR MACHINE LEARNING SYSTEM?  
YUP! YOU POUR THE DATA INTO THIS BIG PILE OF LINEAR ALGEBRA, THEN COLLECT THE ANSWERS ON THE OTHER SIDE.  
WHAT IF THE ANSWERS ARE WRONG?  
JUST STIR THE PILE UNTIL THEY START LOOKING RIGHT.

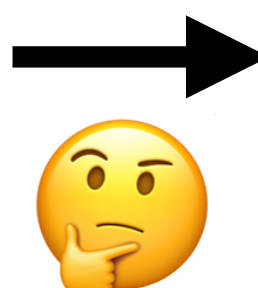


**IMAGENET**

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**Grad student descent** 



**Deep Learning for Medical Imaging Fares Poorly on External Data**

Deep learning may not assess medical images from external organizations as accurately as data from the institution where it is trained.



**Tesla hit parked police car 'while using Autopilot'**


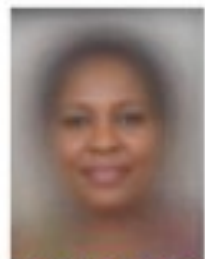

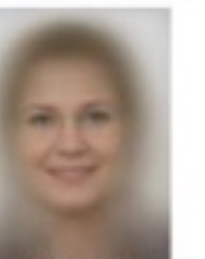
30 May 2018



LAGUNA BEACH POLICE DEPARTMENT

A number of Tesla vehicles have been involved in crashes.

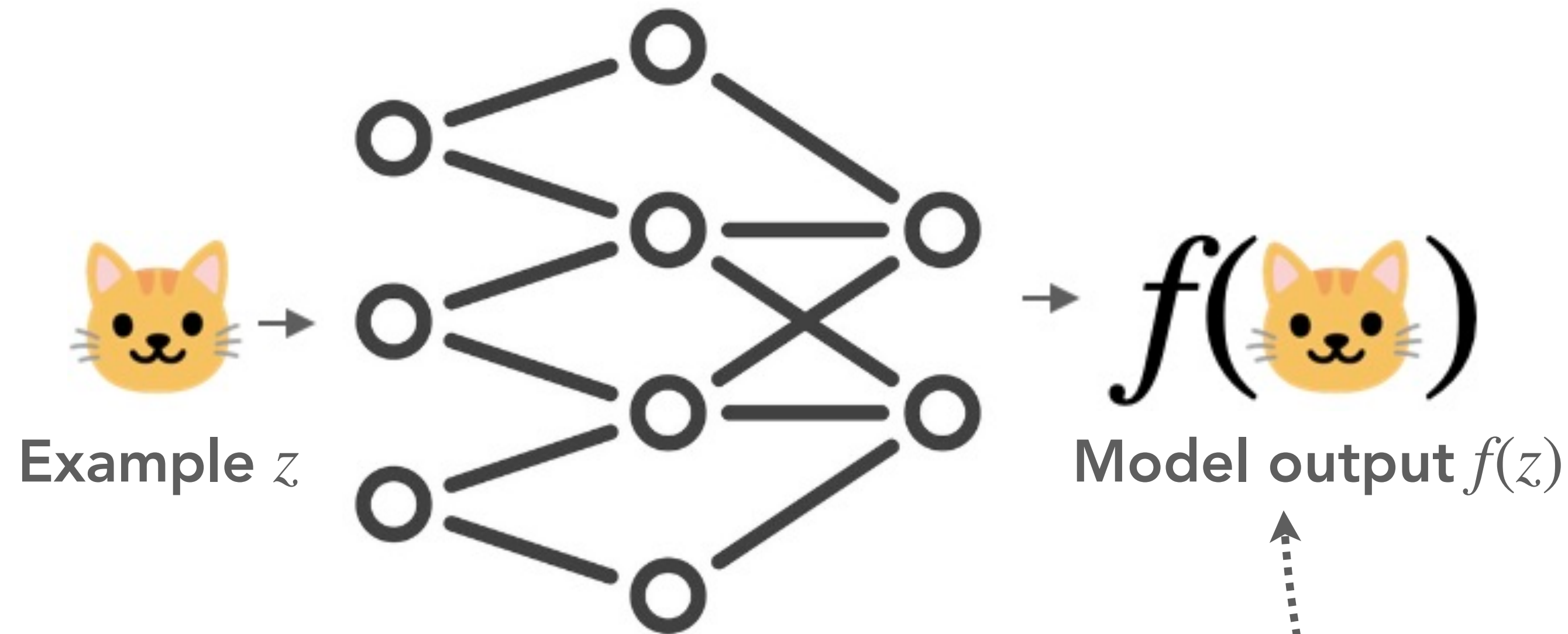
**August 2018 Accuracy on Facial Analysis Pilot Parliaments Benchmark**

amazon	98.7%	68.6%	100%	92.9%
				
	<b>DARKER MALES</b>	<b>DARKER FEMALES</b>	<b>LIGHTER MALES</b>	<b>LIGHTER FEMALES</b>

**Amazon Rekognition Performance on Gender Classification**

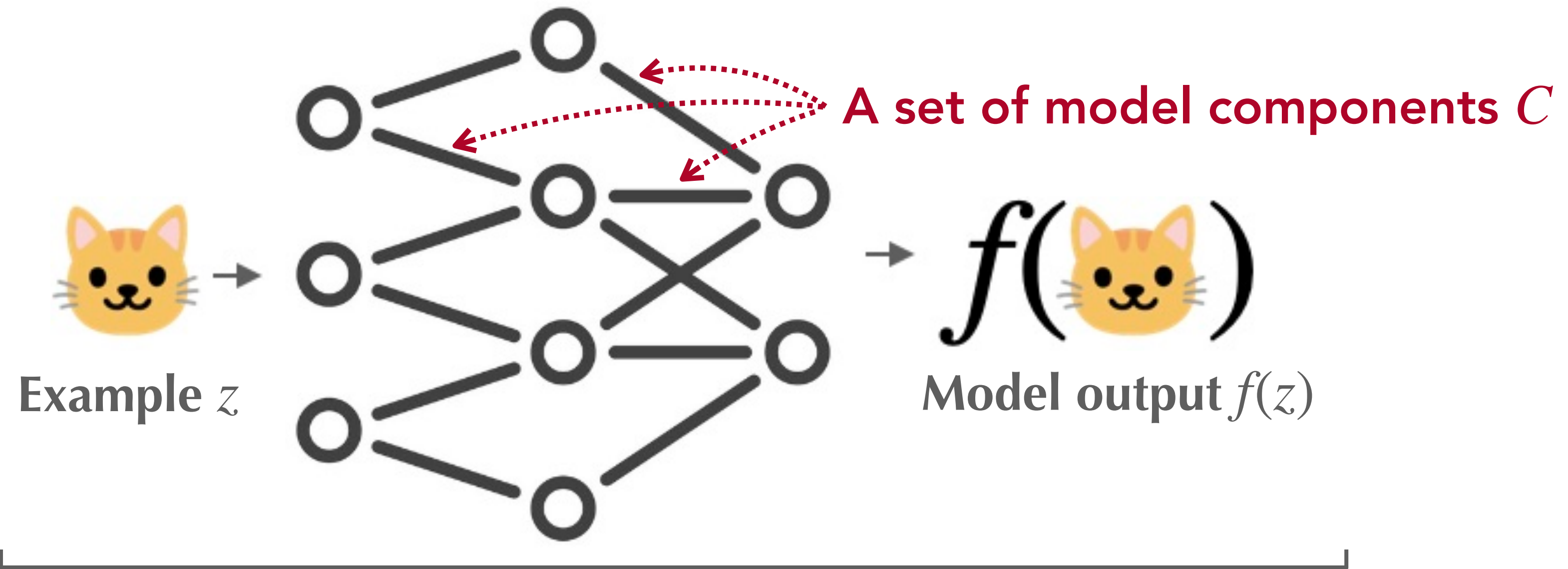
Core issue: We don't understand **how** models internally turn examples into predictions

# Models as computation graphs



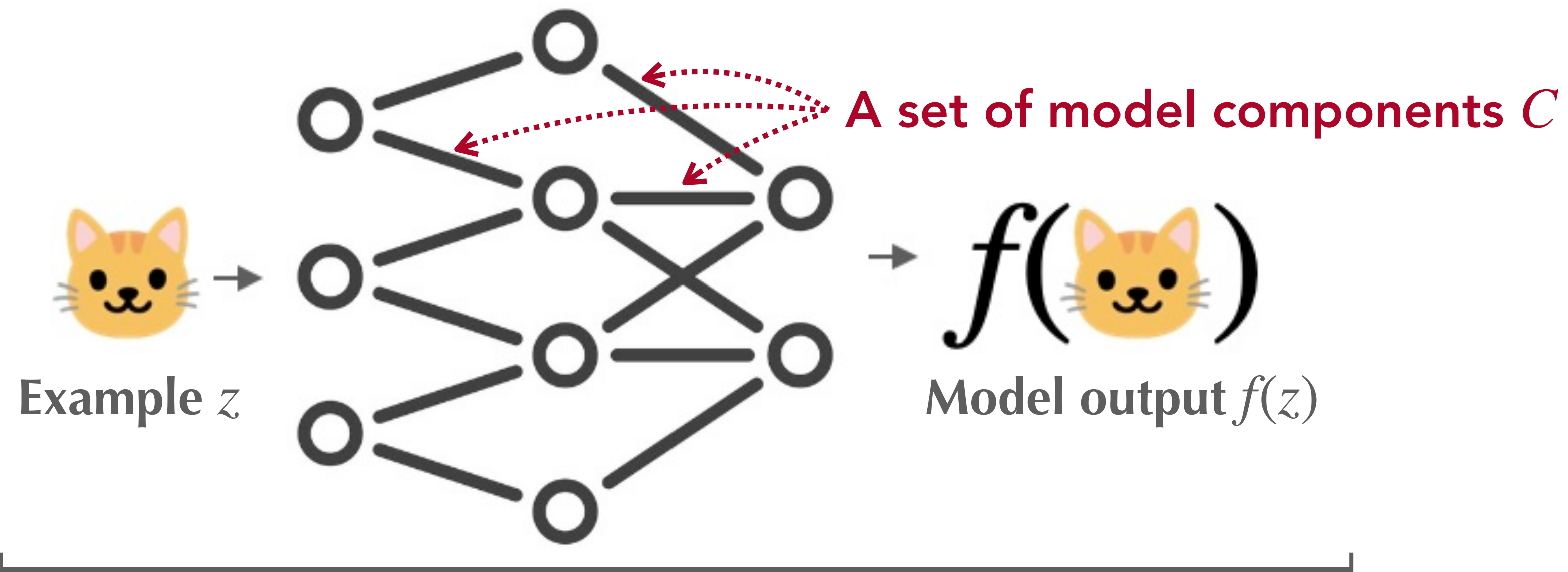
Any metric that quantifies "correctness"  
e.g., cross-entropy loss, correct-class confidence., etc

# Models as computation graphs



Model  $f$  as a computation graph over model components

# Models as computation graphs



Model  $f$  as a computation graph over model components

## Examples of model components in common model architectures

Convolution filters in ResNet models  
Attention heads & MLPs in Transformers

Weight vectors in MLPs  
Coefficients in linear models

# Models as computation graphs



## High-level question

Can we somehow understand how model components collectively turn examples into predictions?

### Examples

Convolution filters in ResNet models

Weight vectors in MLPs

Attention heads & MLPs in Transformers

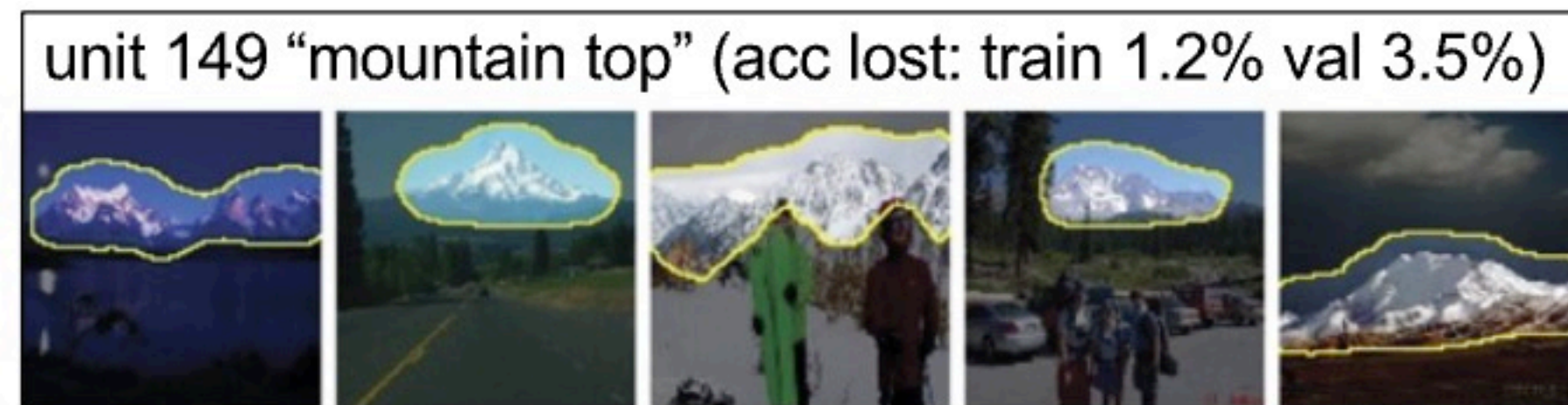
Parameters in linear models

# Background: interpreting model components

## Vision models



Convolution filters learn to detect curves and frequency [Cammarata et al. 2020]



Convolution filters in deeper layers detect high-level concepts [Bau et al. 2020]



# Background: Interpreting model components

## Vision models

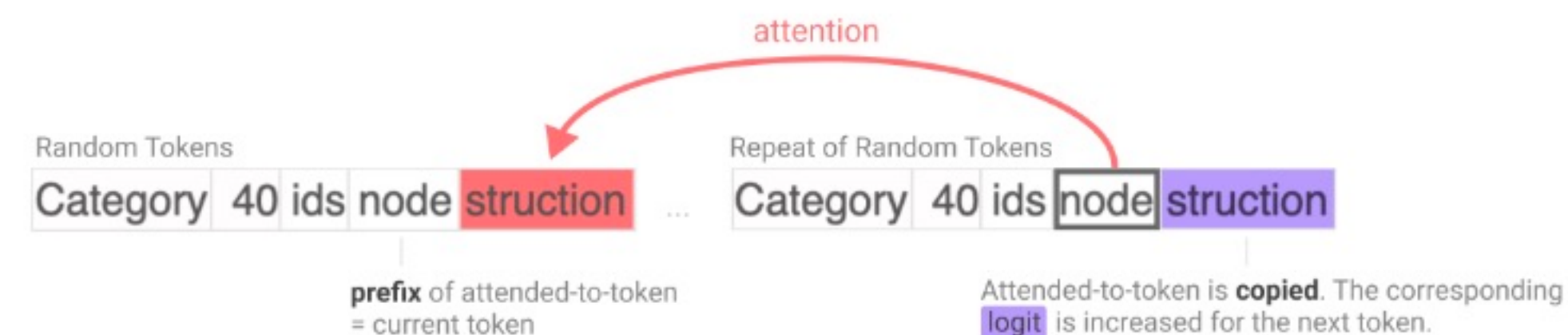


Convolution filters learn to detect curves and frequency [Cammarata et al. 2020]

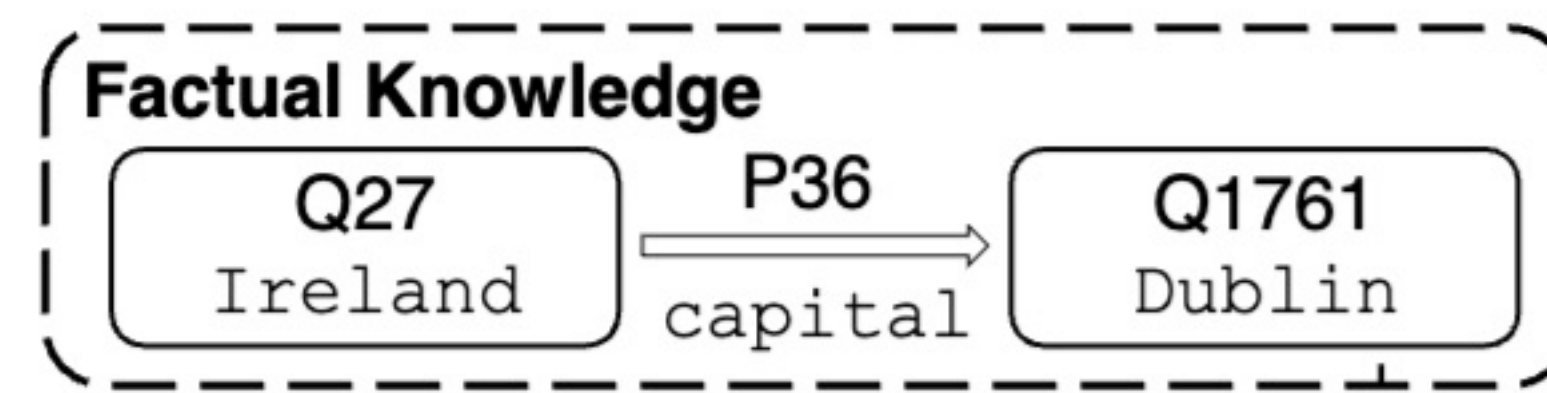


Convolution filters in deeper layers detect high-level visual concepts [Bau et al. 2020]

## Language models



Induction heads in transformers  
[Olsson et al. 2022]



Knowledge neurons encode factual knowledge [Dai et al. 2021]

"Duplicate token head", "Name-mover head",  
"Backup head", "ML Tea head" ... 🤔  
[Wang et al. 2022]

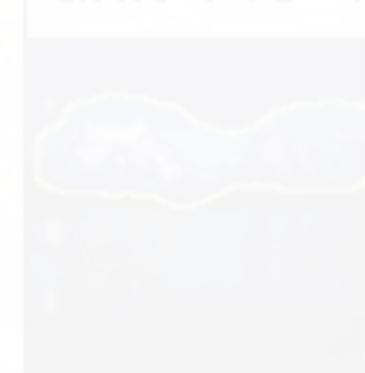
# Background: interpreting model components

Vision models

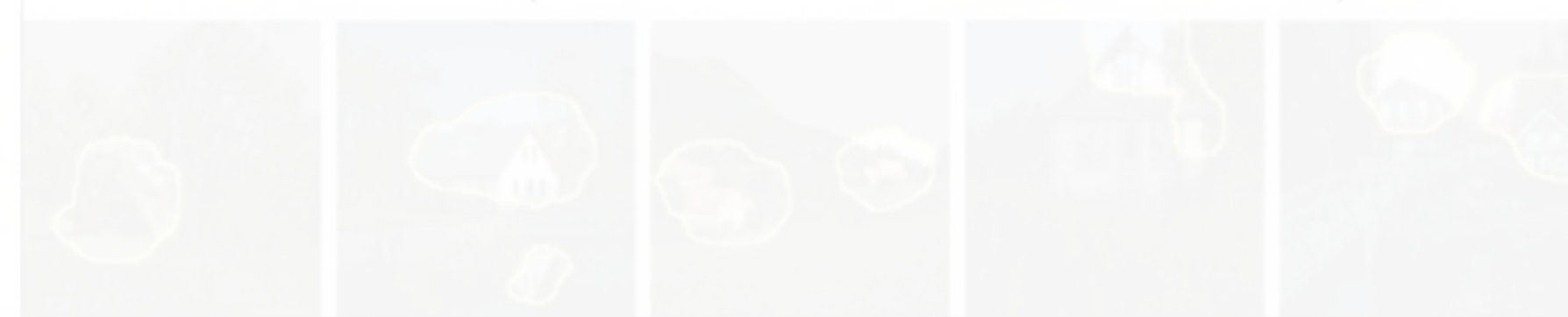


Convolution  
frequ

unit 149 "mo



unit 242 "house" (acc lost: train 1.5% val 2.5%)



Convolution filters in deeper layers detect high-level visual concepts [Bau et al. 2020]

Language models



Attention heads in transformers  
[Olsson et al. 2022]

Q1761  
Dublin

Knowledge neurons encode factual knowledge [Dai et al. 2021]

"Duplicate token head", "Name-mover head",  
"Backup head", "ML Tea head" ... 🤔  
[Wang et al. 2022]

## Our goal

Analyze how every model component  $c \in \mathcal{C}$  contributes to individual predictions  $f(\cdot)$

# Our work

## **Component attribution framework**

Decompose any prediction into "contributions" from every model component



## **COAR: Component Atribution via Regression**

A general method for efficient and accurate component attribution



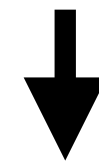
## **COAR-Edit: Model editing using component attributions**

Edit model behavior by ablating a targeted subset of components

# The component attribution framework

## Main idea

If we can “understand” how all model components shape a prediction



we should be able to estimate how predictions change in response to interventions to one or more model components

# The component attribution framework

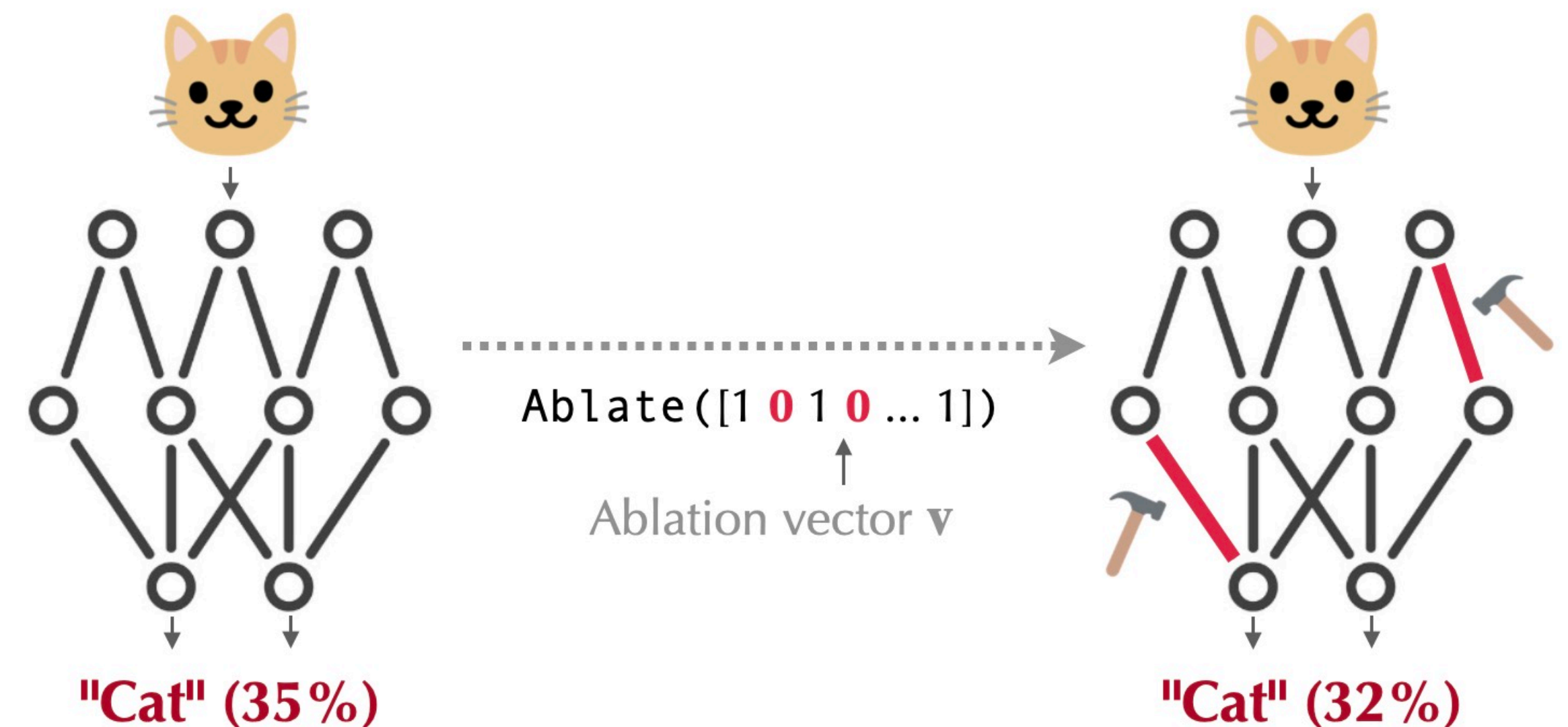
## Main idea

If we can “understand” how all model components shape a prediction,  
↓  
we should be able to estimate how interventions to model components  
change model predictions

## Component ablations as interventions

A **component ablation** intervenes on the *parameters* corresponding to one or more model components.

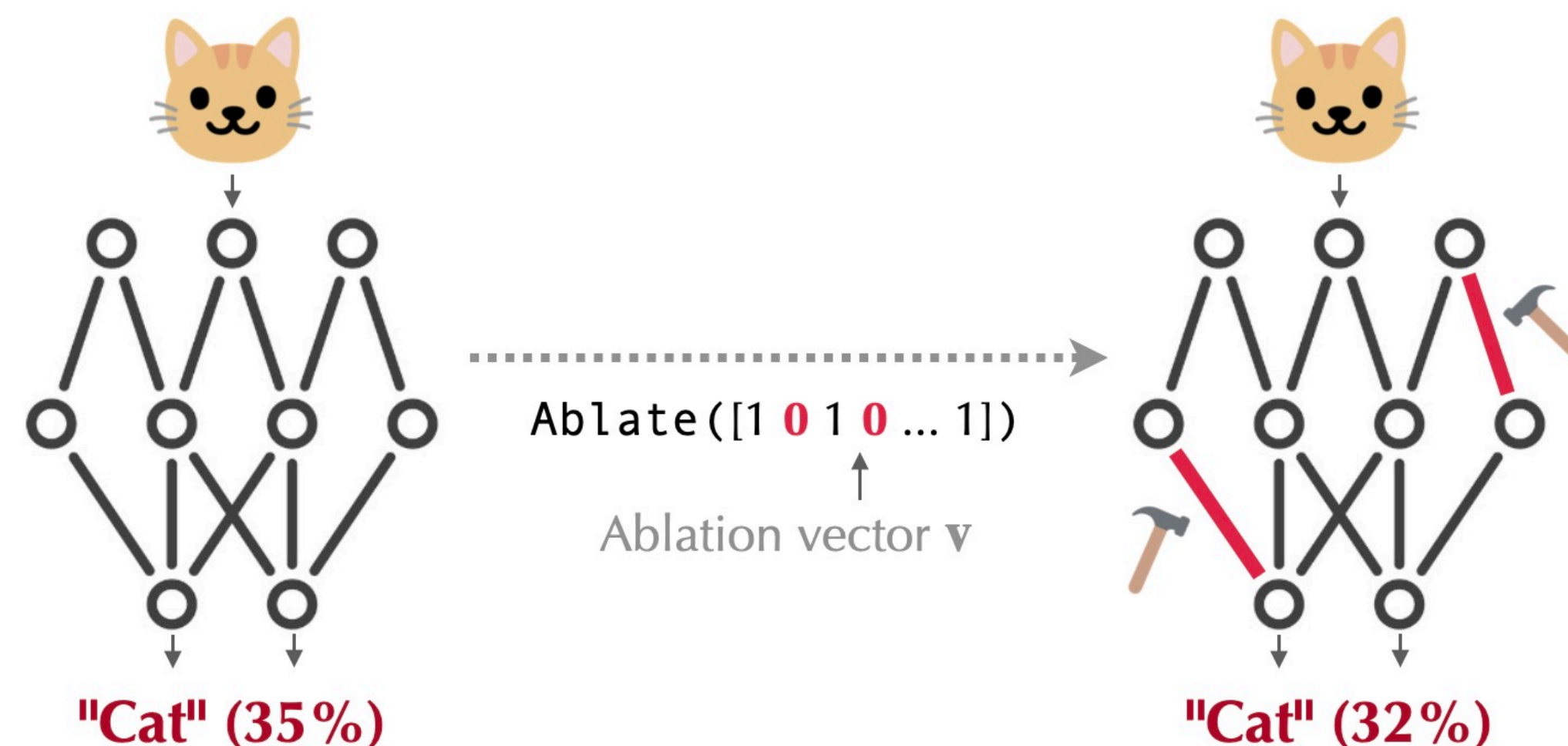
For instance, zeroing out or adding noise



# The component attribution framework

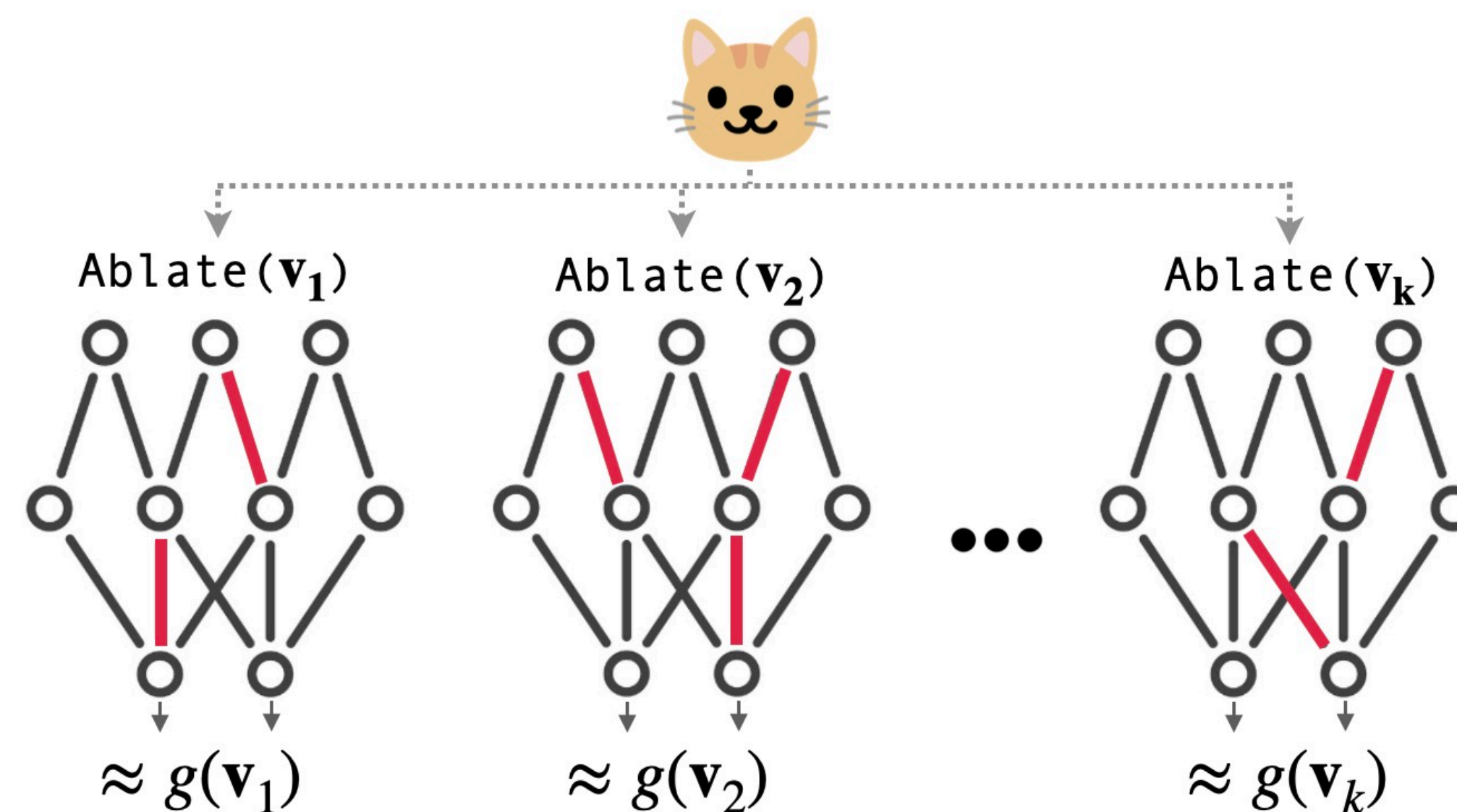
## Component ablations as interventions

A **component ablation** intervenes on the *parameters* corresponding to one or more model components.



## Component attribution

A **component attribution**  $g$  takes as input an ablation vector  $\mathbf{v}$  and estimates the effect of the component ablation on a given model prediction.

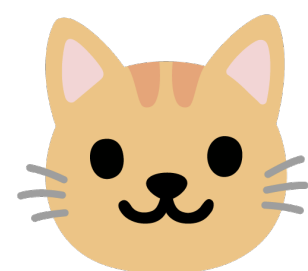


# Formalizing component attribution

## Fix:

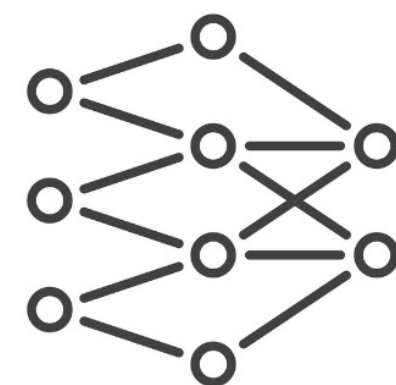
Example  $z$

e.g., from ImageNet



Trained model  $f$

e.g., a ResNet50



Set of components  $\mathcal{C}$

e.g., conv filters in all layers

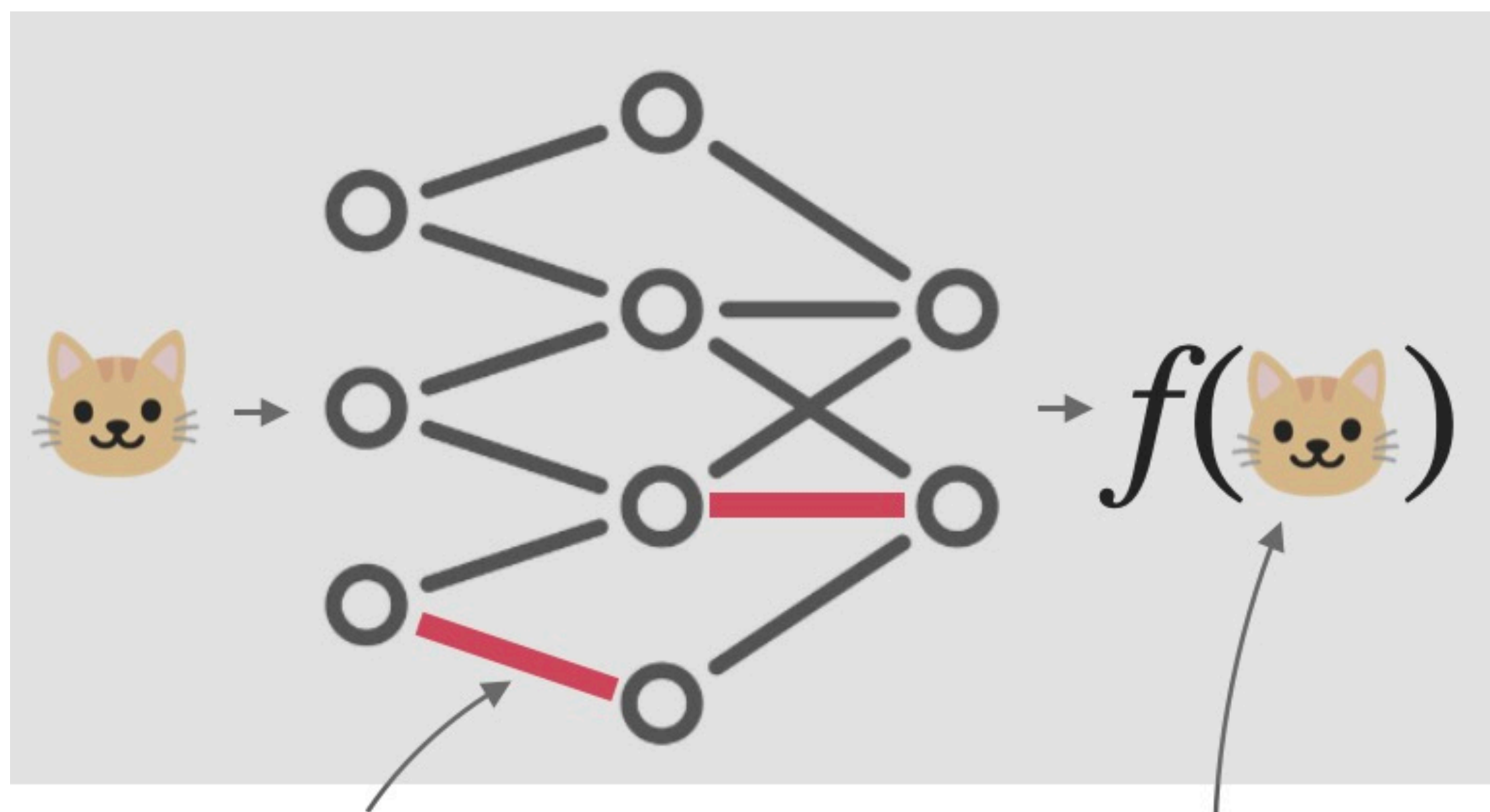
`layer7.block3.conv[42]`

For any component ablation  $v \in \{0,1\}^{|\mathcal{C}|}$

1. Using  $v$ , apply component ablation to the model  $f$
2. Evaluate output of ablated model on example  $z$  to get  $f(z, v)$

**Goal:** Given (any) component ablation  $v$ , estimate  $f(z, v)$  (i.e., without intervening)

# Formalizing component attribution



Component ablation

$$v = [0 \ 1 \dots \ 0 \ 1]$$

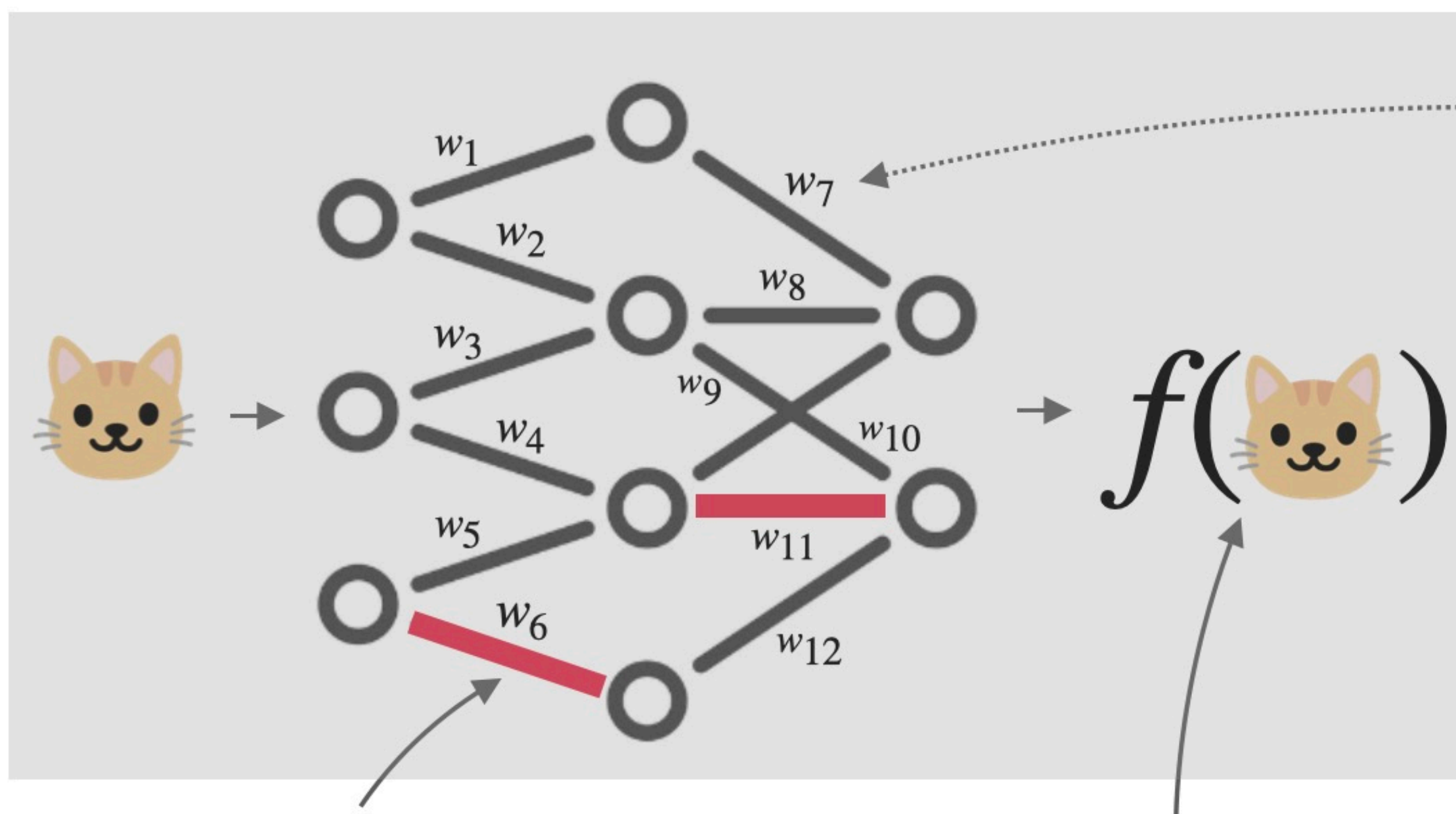
Ground-truth output  
of ablated model

$$\approx g_{\text{cat emoji}}(v)$$

Component attribution directly  
predicts model output  $f(\text{cat emoji})$



# Formalizing component attribution



Component ablation

$$v = [0 \ 1 \dots \ 0 \ 1]$$

Ground-truth output  
of ablated model

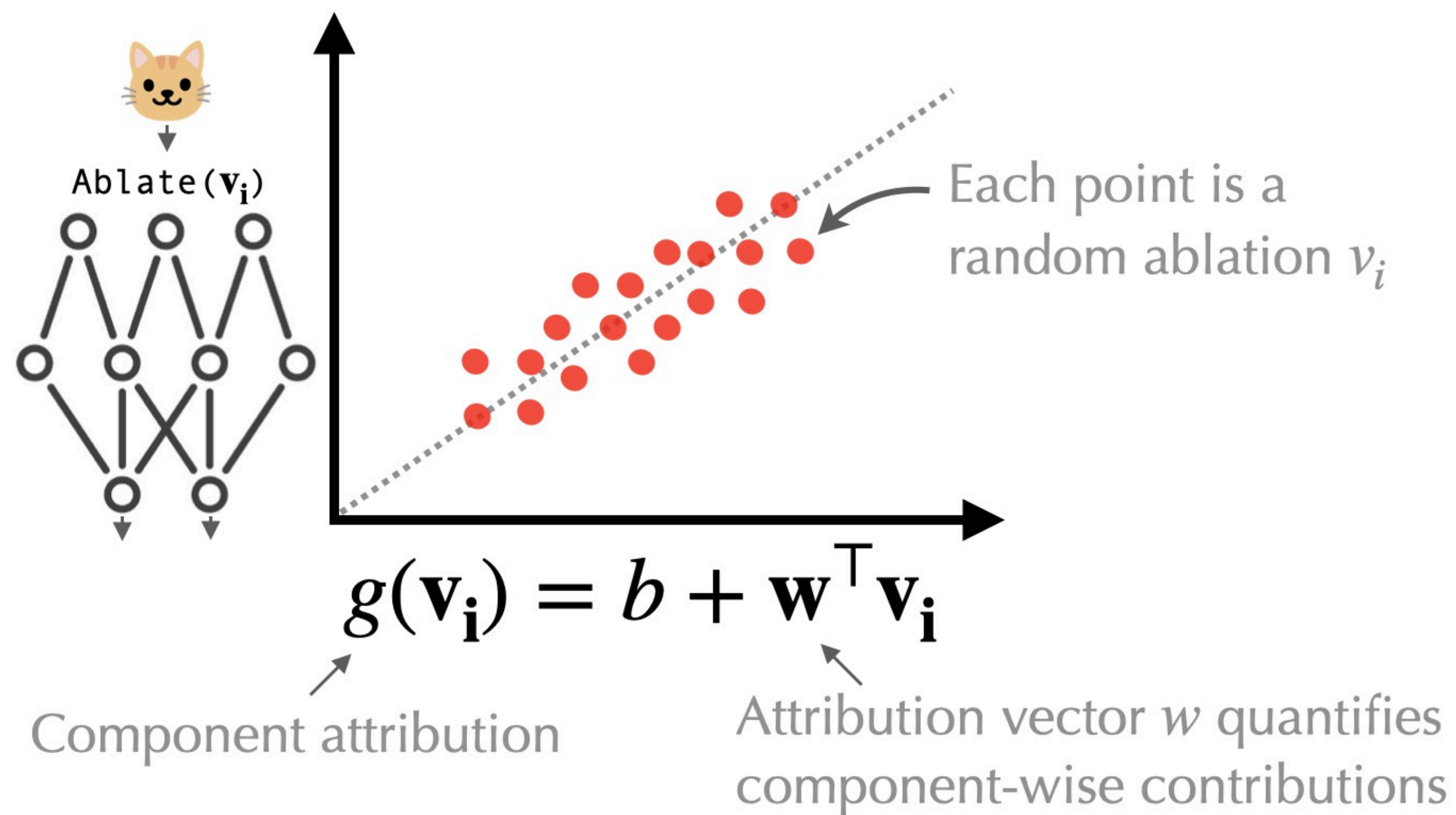
Attribution scores  $w$  estimate  
component-wise contributions

$$\approx g_{\text{cat}}(v) = w^T v + b$$

Component attribution directly  
predicts model output  $f(\text{cat})$

# Formalizing component attribution

**Next:** We want to estimate component attributions that accurately predict how component ablations change model predictions



# Our work

## Component attribution framework

Decompose any prediction into "contributions" from every model component



## COAR: Component Atribution via Regression

A general method for efficient and accurate component attribution



**COAR-Edit:** Model editing using component attributions

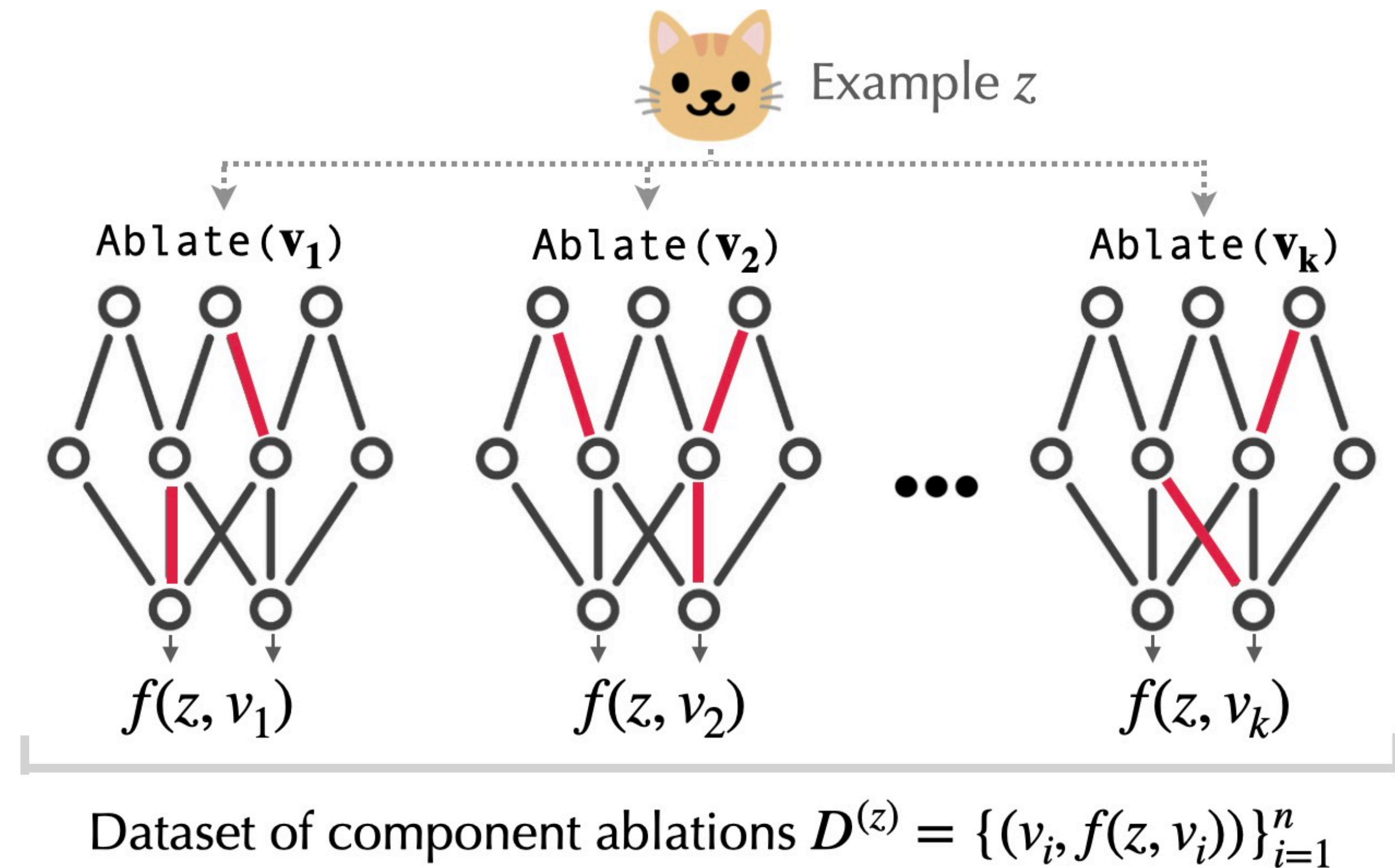
Edit model behavior by ablating a targeted subset of components

# COAR: Component Atribution via Regression

Cast component attribution into a **supervised learning** problem in two steps

## Step 1/2

**Construct a dataset of component ablations** by ablating random subsets of components and recording both the ablations and the ablated model's outputs for each example of interest.



# COAR: Component Attribution via Regression

Cast component attribution into a **supervised learning** problem in two steps

## Step 2/2

**Fit a linear regression model** that maps an ablation vector  $v_i$  to the ablated models' output  $f(z, v_i)$ .

The weights  $(w, b)$  of this linear model serve as our component attribution  $g^{(z)}(v) = w^\top v + b$

$$(w^{(z)}, b^{(z)}) = \arg \min_{w, b} \sum_{D^{(z)}} (f(z, v_i) - v_i^\top w - b)^2$$

Ground-truth output  
of ablated model



Dataset of  
component  
ablations



Attribution-based  
estimate



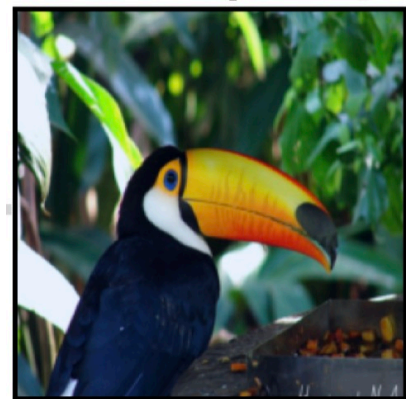
# COAR: Component Attribution via Regression

Does COAR learn **accurate** component attributions?

## Setup

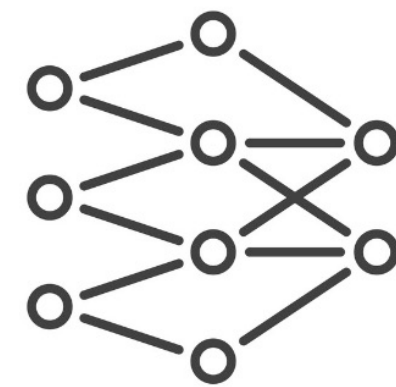
Example  $z$

from ImageNet



Model  $f$

ImageNet-trained ResNet50



Components  $C$

22,720 conv filters

`layer*.block*.conv*`

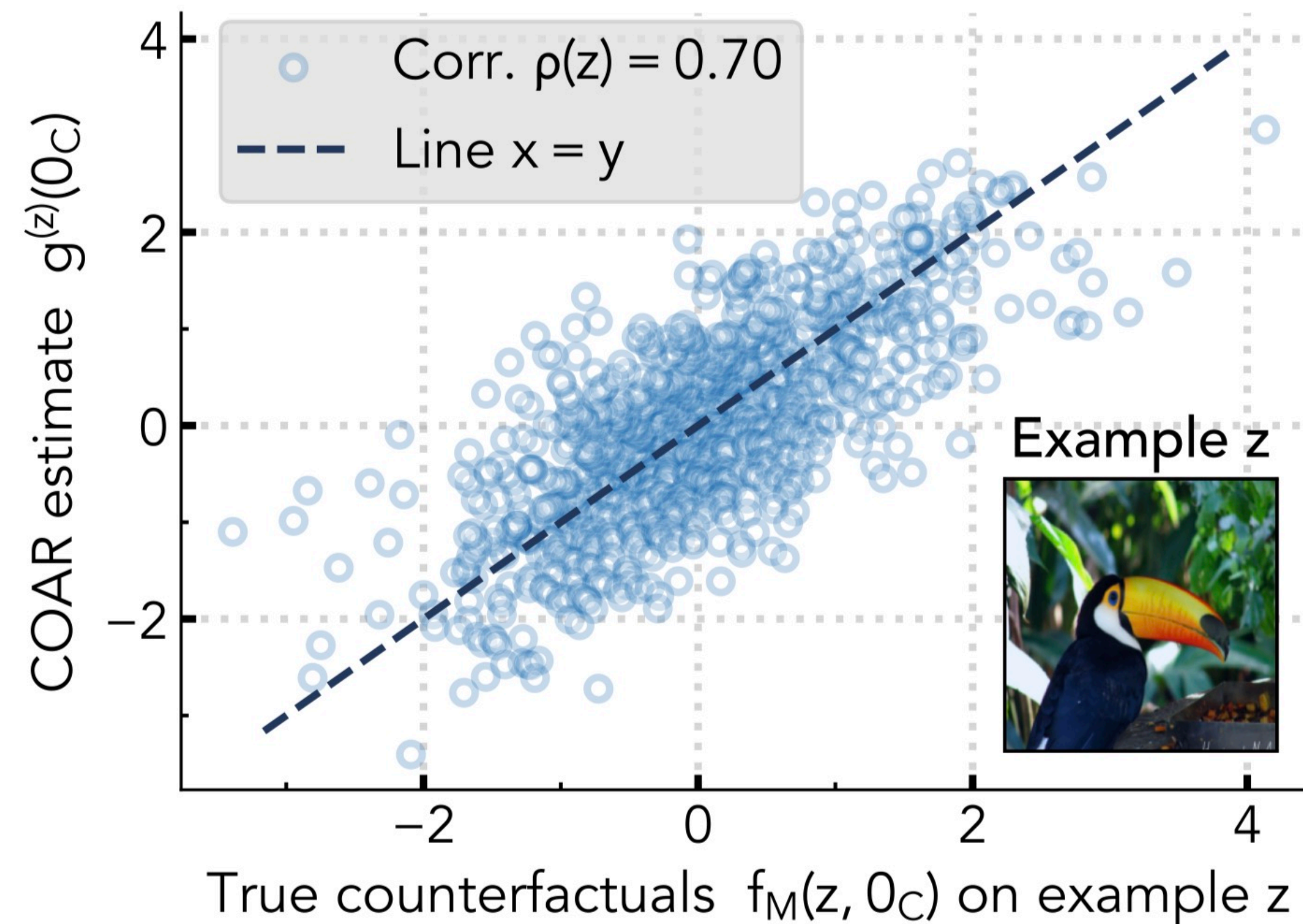
Evaluating component attributions  $g^{(z)}$

1. Sample an (unseen) random ablation vector  $v$
2. Check if the attribution-based estimate  $g^{(z)}(z)$  predicts ground-truth output  $f(z, v)$

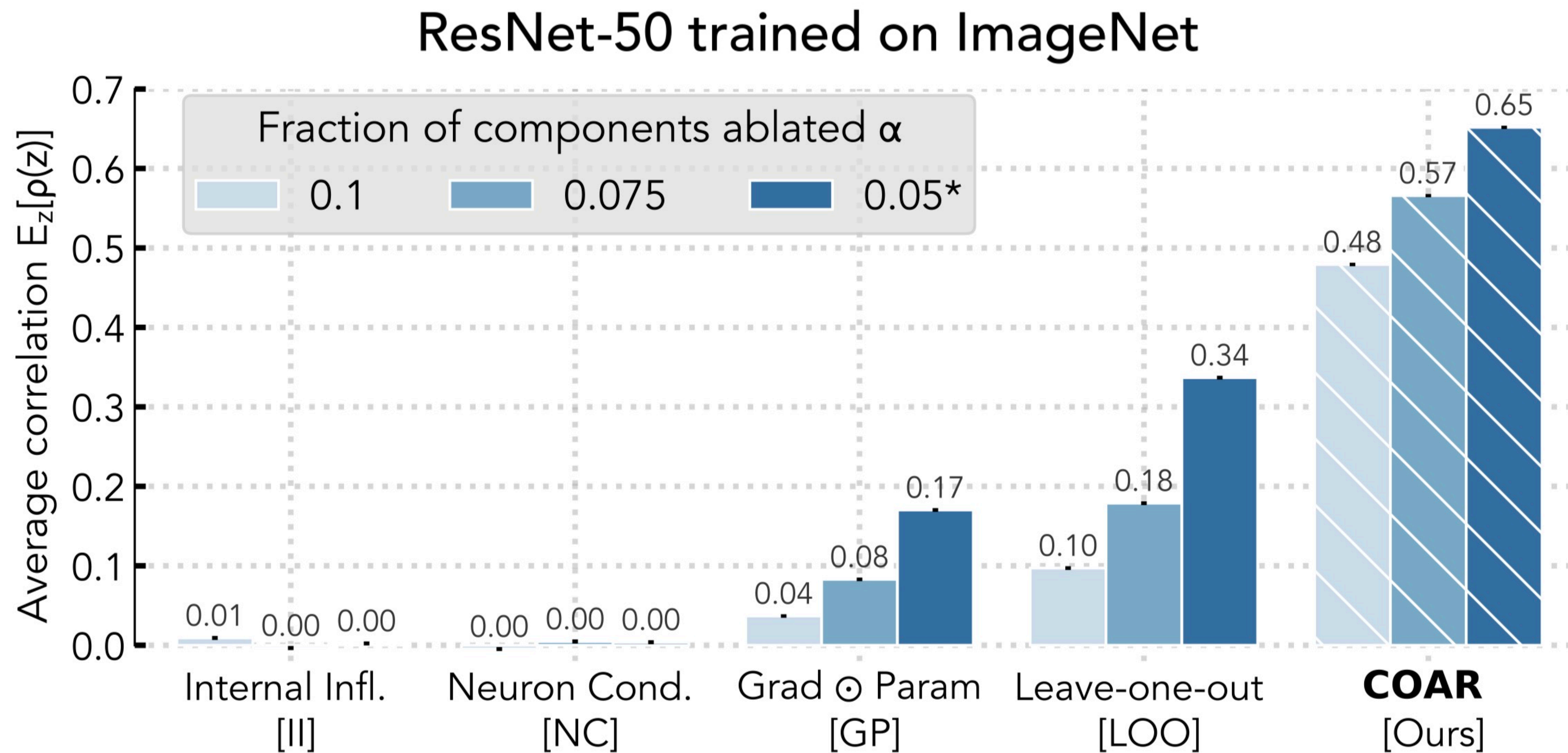
# COAR: Component Attribution via Regression

Does COAR learn **accurate** component attributions?

ResNet-50 trained on ImageNet



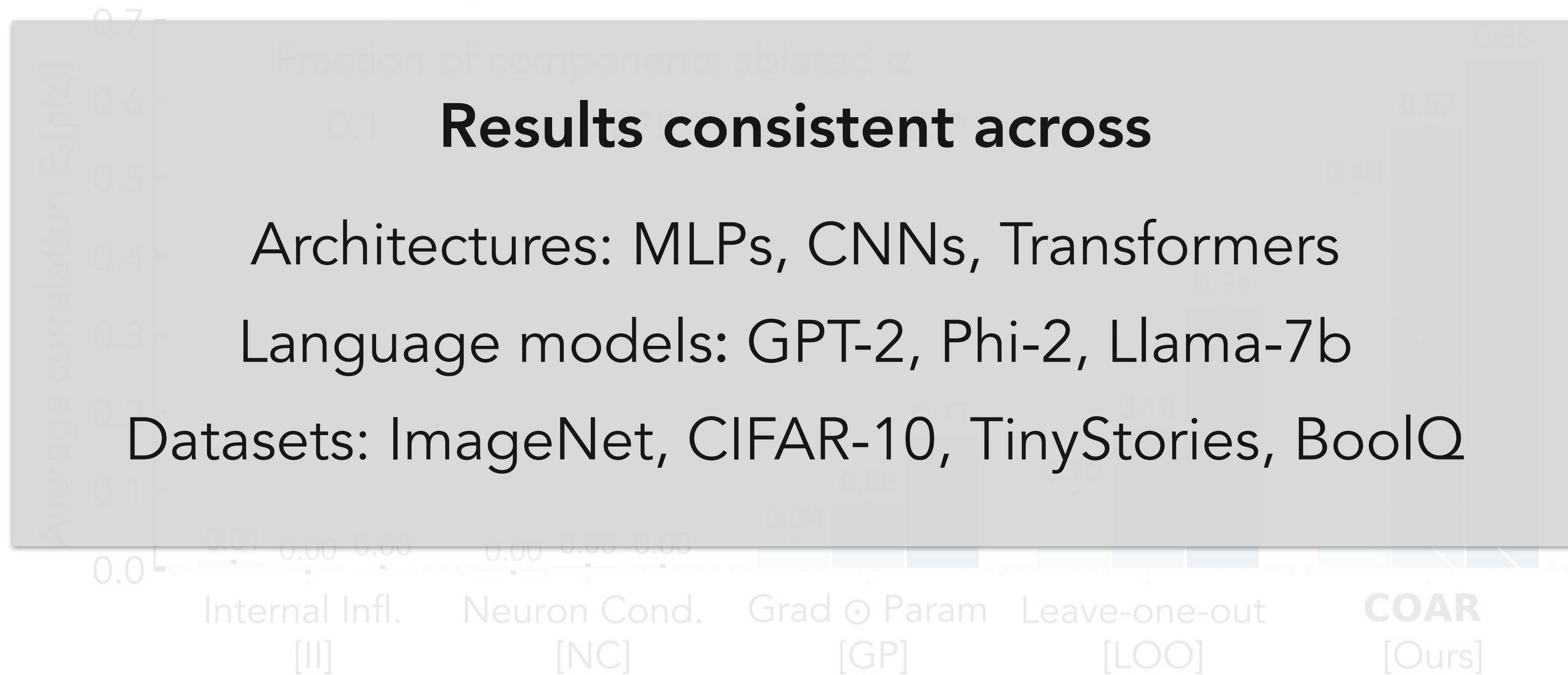
# COAR: Component Attribution via Regression





# COAR: Component Attribution via Regression

ResNet-50 trained on ImageNet



# Our work

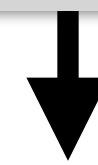
## **Component attribution framework**

Decompose any prediction into "contributions" from every model component



## **COAR: Component Atribution via Regression**

A general method for efficient and accurate component attribution



## **COAR-Edit: Model editing using component attributions**

Edit model behavior by ablating a targeted subset of components

# COAR-Edit: Model editing using COAR attributions

Component attribution asks

*How would model outputs change if we were to ablate a subset of components?*

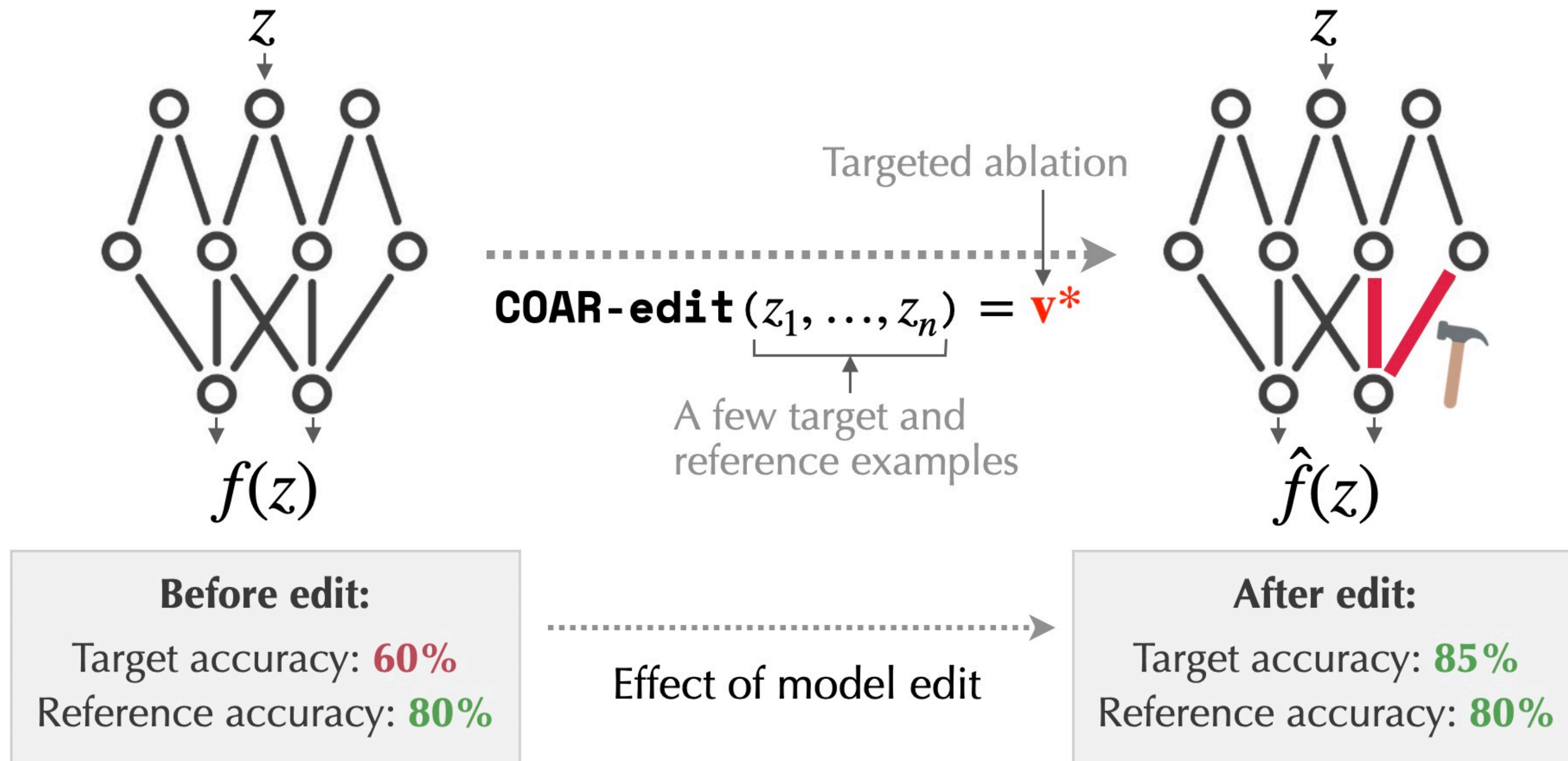


Model editing inverts this to

*Which components, when ablated, would change model outputs in a specific way?*

# COAR-Edit: Model editing using COAR attributions

**Goal:** perform a model edit that improves performance on **target examples** without degrading performance on **reference examples**



# COAR-Edit: Model editing using COAR attributions

## Main idea

Use COAR attributions to identify model components that, when ablated, change model behavior in a targeted manner

**No additional training needed** ✓

**Sample-efficient** ✓

# COAR-Edit: Model editing using COAR attributions

## Step 1/3

Compute COAR attributions for target and reference examples

## Step 2/3

For every component, quantify its "importance" to target examples *relative* to reference examples with a simple t-test (null: target  $\sim$  reference)

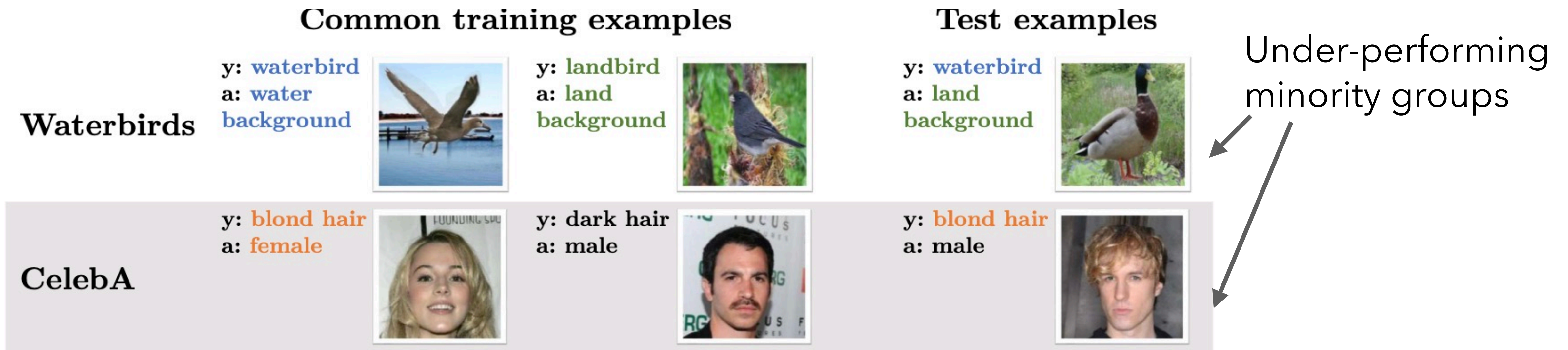
## Step 3/3

Ablate the bottom- $k$  components with the lowest test statistics to improve model performance on the target examples.

# Case study #1: Improving group robustness

## Problem

1. Models latch on to spurious correlations in the training dataset
2. At test time, models performance sucks when spurious correlation is absent

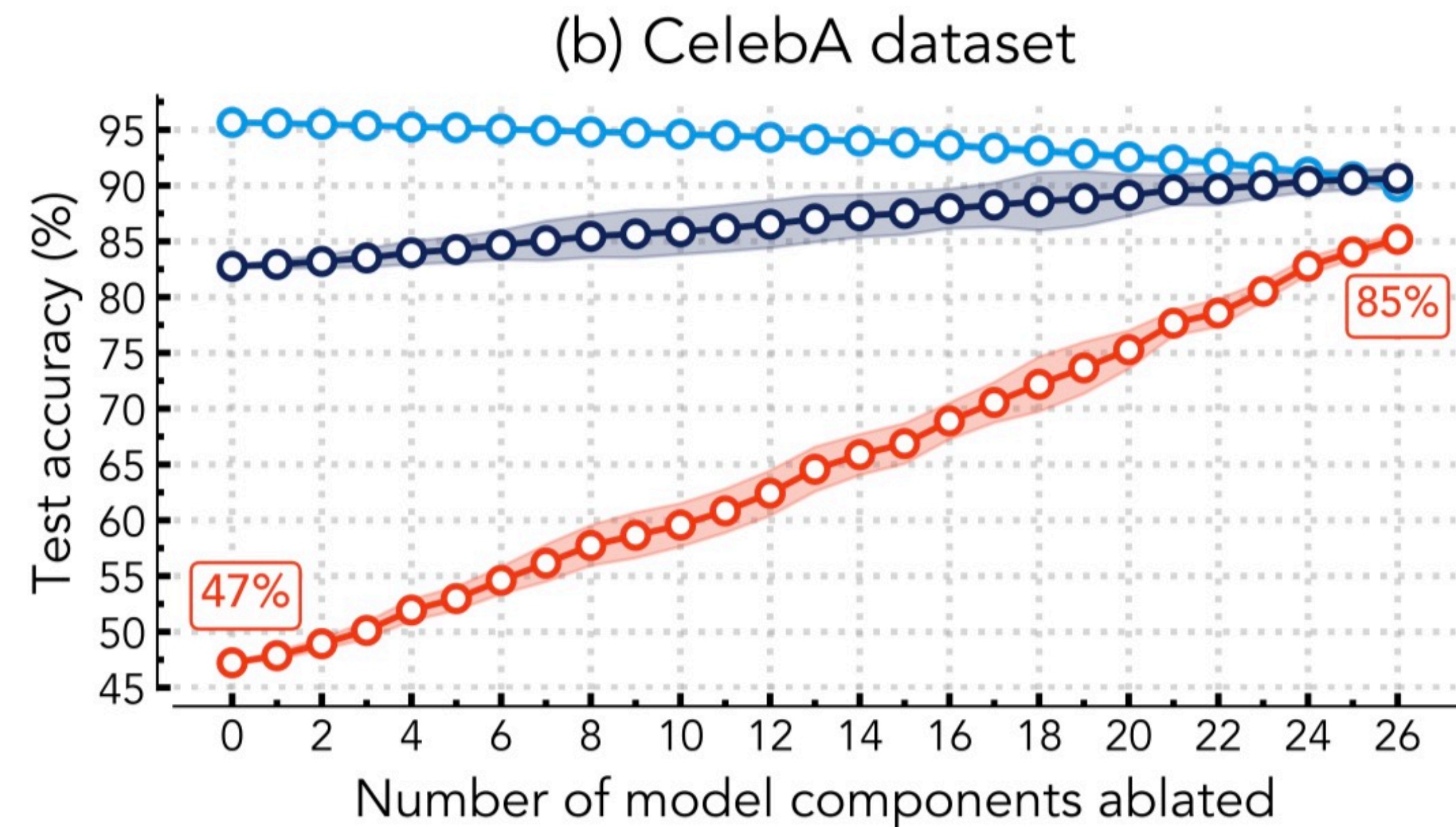
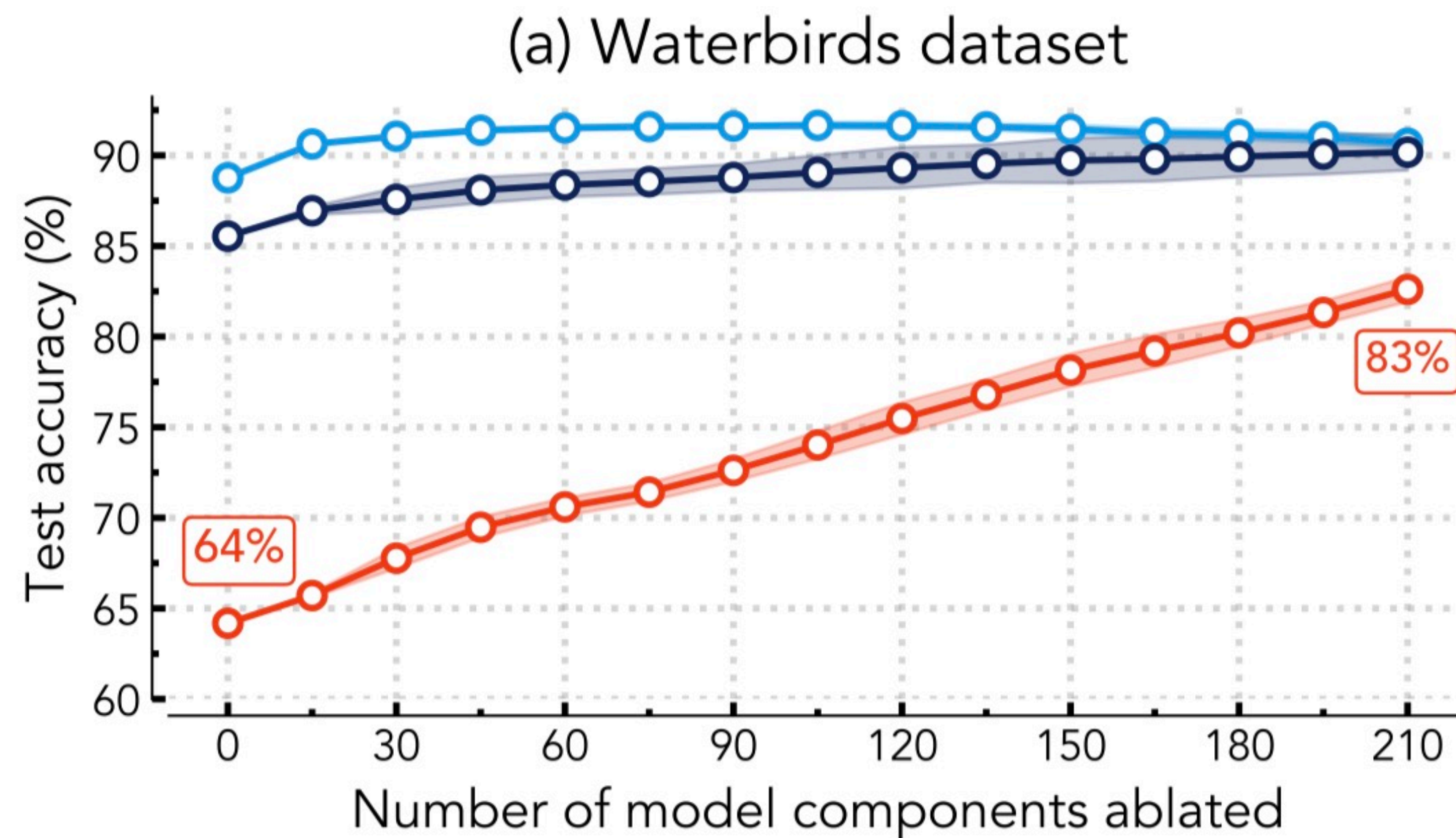


[Group robustness benchmarks from Sagawa et al. 2020]

# Case study #1: Improving group robustness

## Applying COAR-Edit

1. Target examples: a few examples (~10) from the majority group(s)
2. Reference examples: a few examples (~10) from the minority group(s)



—○— Averaged over examples    —○— Averaged over subpopulations    —○— On worst-performing subpopulation.



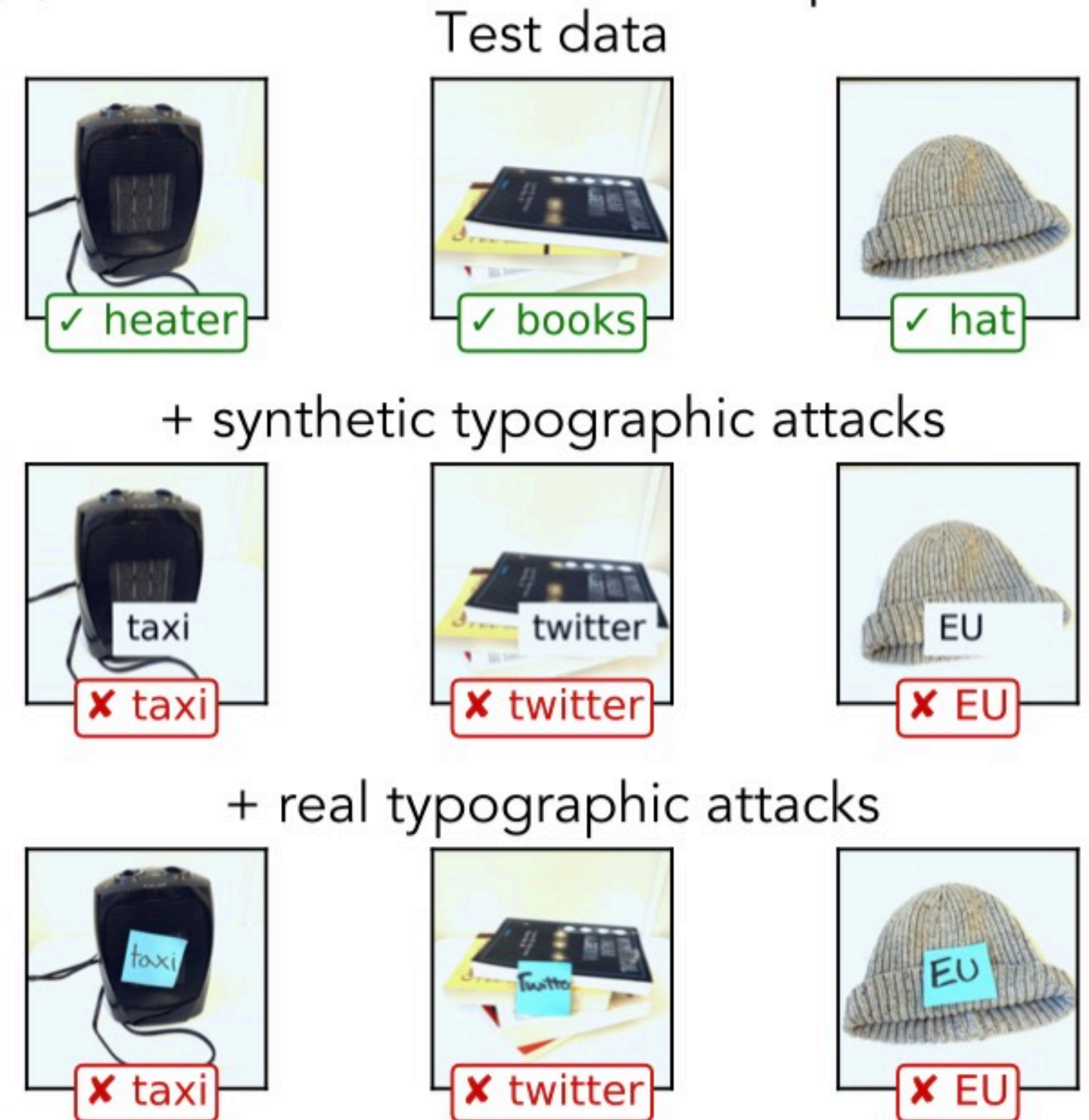
# Case study #2: Robustness to typographic attacks

## Problem

Zero-shot CLIP classifiers are sensitive to typographic attacks [Goh et al. 2021]

Evaluating a CLIP ViT-B/16 model on images w/ and w/o attacks

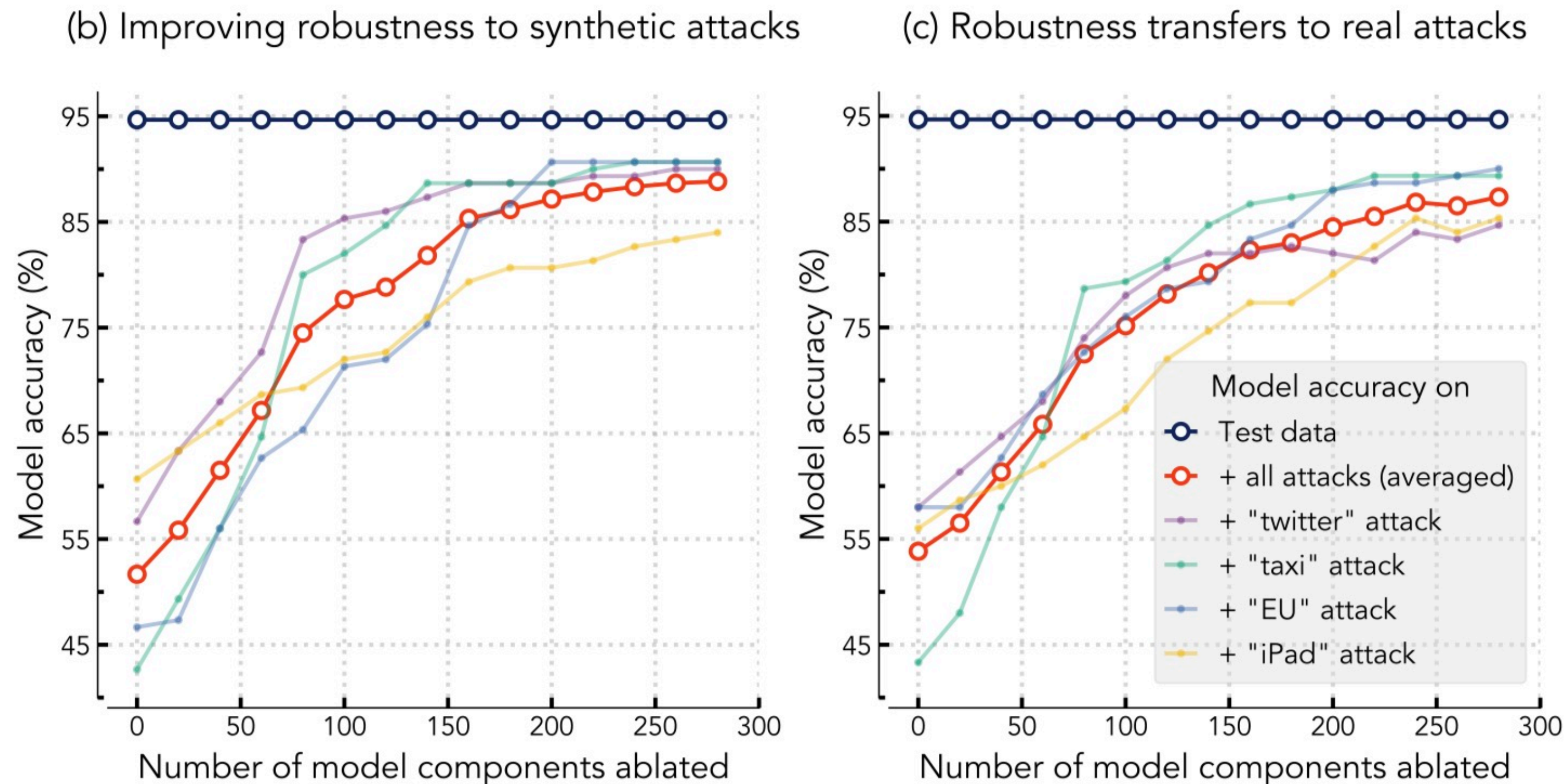
(a) Effect of attacks on model predictions



# Case study #2: Robustness to typographic attacks

## Applying COAR-Edit

1. Target examples: a few examples (~10) with synthetic typographic attacks
2. Reference examples: a few examples (~10) without typographic attacks



# Summary

## Decomposing and Editing Predictions by Modeling Model Computation

- Decompose predictions into contributions from every model component
  - How? Use **COAR** to learn component attributions
- Edit model behavior at the level of examples, subpopulations, and concepts
  - How? Use **COAR-Edit** to identify and ablate a targeted set of model components

 @harshays\_

Check out our paper for more findings!  
<https://arxiv.org/abs/2404.11534>

