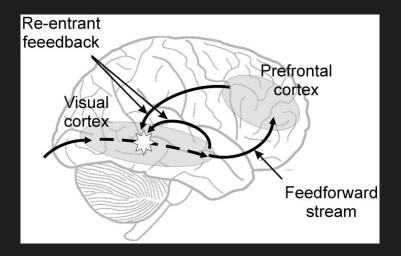
### My Current Research

Jacob Fein-Ashley

Contextual Feedback Loops Amplifying Deep Reasoning with Iterative Top-Down Feedback Feedback Mechanisms

## Your Brain is not a Strictly Feedforward Mechanism



Human brains are made up of about 60% feedback connections.

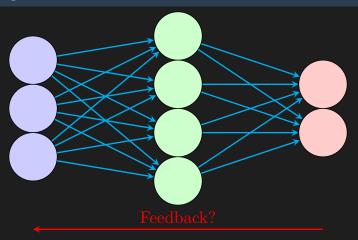
Feedback Mechanisms

## Fire and Pain: Learning Through Feedback



When you get burned, your brain uses feedback signals to correct mistakes and avoid touching fire in the future. This learning process is guided by constant error-checking and adapting to new information.

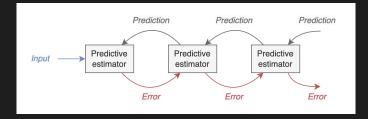
#### The Single Pass Dilemma — Feed Forward Networks



Standard neural networks rely on a single forward pass-Feedback?

Challenges

## Related: Predictive Coding & Generative Feedback



- Overly optimistic model assumptions.
- High computational complexity.
- Limited empirical evidence.

These models lack contextual grounding, performance on benchmarks, and high memory/compute requirements.

Perception

#### Stop Signs and Context



You don't actually read the stop sign—you recognize it by its context: its placement, distinctive color, and shape.

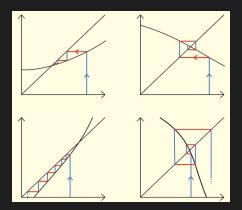
Iterative Feedback

#### Iterative Feedback in Action



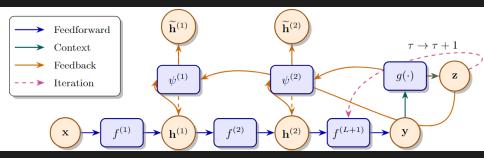
When you see a Ford Explorer on the side of the road, you might initially mistake it for a cop. But by checking for details—like the push bumper, the lights on top, and other distinct markers—you iteratively refine your expectation until your hypothesis is corrected.

### Fixed Point Iteration & Banach's Theorem



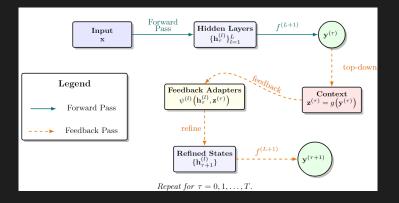
Iterative refinement can be viewed as repeatedly applying a function until convergence. Under the Banach fixed point theorem, if that function is a contraction, the iteration is guaranteed to converge to a unique fixed point.

## A Contextual Feedback Loops Framework



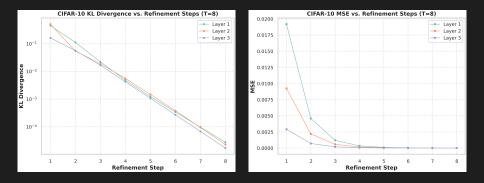
This framework merges feedforward signals with top-down **context** and iterative **feedback** steps, allowing each layer to refine its representation over multiple passes instead of relying on a single forward propagation.

## Contextual Feedback Loops: Training vs. Inference



During training, each top-down feedback iteration refines the model's parameters to reduce error, while at inference time, the same iterative process helps the network converge on a stable representation that aligns with the input and context.

#### Per-Layer Analysis on CIFAR-10



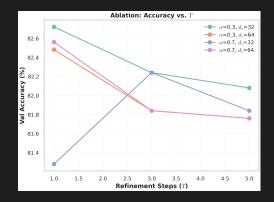
The left image shows the KL divergence per layer on CIFAR-10, while the right displays the MSE per layer. These metrics indicate that our feed-back loops balance divergence and reconstruction error at each layer.

# Overall Performance: CIFAR-10, ImageNet, and Speech Commands

Mod	lel	Run 1 Ru		12 R	un 3	Ru	ın 4	<b>Run 5</b> 75.3	
CNN	N	75.1	75.	4 1	74.8		6.0		
Feed	lbackCNN	79.2	78.	7 1	79.9	- 79	9.6	80.1	
	Model	# Params	(M) I	FLOPs (G	) <b>Top-1</b>	(%)	Top-5 (9	%)	
	ViT-B/16 (baseline)	86.6		17.5	83.	3.5 96			
	FeedbackViT-B/16	115.0		22.4	84.	4.2 9	96.9		
	ViT-L/16 (baseline)	304.2	61.7		85.	.1	97.1		
	FeedbackViT-L/16	398.8		78.2	85.	.8	97.4		
	ViT-H/14 (baseline)	632.1		132.9	85.	.7	97.6		
	FeedbackViT-H/14	820.8		210.4	86.	.3	97.8		

Our approach drastically improves accuracy on CIFAR-10 and ImageNet. We also see significant gains on the Speech Commands dataset, demonstrating broad applicability.

#### Ablation Study



The ablation study highlights how different parameter settings affect performance. Small changes yield significant differences, underscoring the importance of our model's design choices. Conclusion

#### Conclusion and Future Directions

We achieve drastic improvements in accuracy with only a slight overhead. Although I currently lack the computational resources to fully explore these avenues, this approach shows great promise for scaling to large language models and generative models in the future.

## The FFT Strikes Back

An Efficient Alternative to Self-Attention



#### Introduction to FFTNet

FFTNet: A new project that efficiently mixes tokens using the Fast Fourier Transform.
Scalability: Scales much better than standard self-attention.
Efficiency: Achieves global token mixing in O(n log n) time.

#### Motivation & Convolution Theorem

#### Key Idea: Convolution in the Token (Time) Domain <u>Corresponds to</u> Multiplication in the Frequency Domain.

- Self-attention can be seen as a form of global mixing with  $\mathcal{O}(n^2)$  complexity.
- By moving to the frequency domain, we leverage the convolution theorem to handle these interactions more cheaply:

Convolution  $\leftrightarrow$  Element-wise Multiplication in Fourier Space.

Result: We obtain global mixing in O(n log n) vs. O(n<sup>2</sup>) for standard self-attention.

#### Global Token Mixing with FFT

#### Key Idea

- A Fourier Transform decomposes a sequence of tokens into waves at different frequencies.
- Global interactions arise by combining tokens at all frequencies, revealing overall patterns.

Discrete Fourier Transform (DFT):

$$X_k = \sum_{n=0}^{N-1} x_n e^{-i 2\pi k n/N} \quad (k = 0, \dots, N-1).$$

FFT:

- Efficient algorithm  $(O(N \log N))$  for computing the DFT.
- Enables fast global token mixing in large sequences.

#### Parseval's Theorem and Self-Attention

Parseval's Theorem:

$$\sum_{n=0}^{N-1} |x_n|^2 = \frac{1}{N} \sum_{k=0}^{N-1} |X_k|^2.$$

Equates energy (sum of squares) in time domain with that in frequency domain.

Preserves inner products, meaning no global information is lost under the transform.

**Connection to Self-Attention:** 

- In self-attention, token interactions rely on dot products.
- Parseval's Theorem implies that moving to frequency space retains these similarities.

#### Overview

- Goal: Efficiently capture both global and local token interactions.
- **FFT:** Summarizes long-range patterns in  $O(N \log N)$ .
- **Wavelets:** Zooms in on short-range details.
- **Hybrid:** Combines both for multi-scale context.
- In self-attention, the dot product between tokens measures how much each token attends to every other token. Preserving inner products under the transform means FFT-based mixing retains these same similarities, thereby mimicking global self-attention.

## FFT (Global Mixing)

#### Discrete Fourier Transform (DFT):

$$X_k = \sum_{n=0}^{N-1} x_n e^{-i \frac{2\pi kn}{N}}$$
 (k = 0,..., N-1).

#### Intuition:

- Each token  $x_n$  is broken down into frequency components.
- Reveals broad periodic patterns spanning the entire sequence.
- FFT is a fast algorithm (O(N log N)) to compute these components.

#### Wavelets (Local Mixing)

#### Wavelet Function:

$$\psi_{a,b}(t) = rac{1}{\sqrt{b}} \psi \Big( rac{t-a}{b} \Big),$$

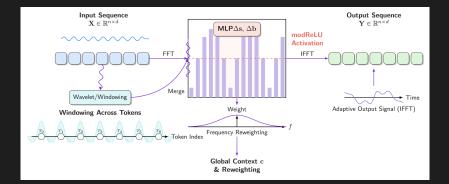
where *a* is position, *b* is scale. Intuition:

- $\psi$  is a short, localized wave that slides over the sequence.
- Adjusting b changes how zoomed in or out the analysis is.
- Ideal for detecting local features or abrupt changes.

#### Combining Global and Local

- **FFT:** Captures global context.
- **Wavelets:** Capture local nuances.
- **Result:** A multi-scale representation leveraging both:
  - Long-range patterns,
  - Fine-grained details.

#### Architecture Diagram

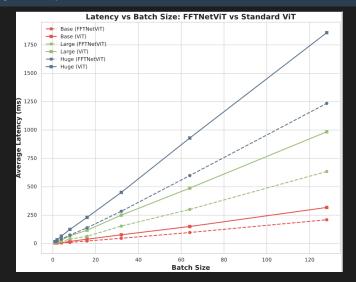


- Performance: FFTNet achieves slightly better performance than standard self-attention.
- Benchmarks:
  - ImageNet
  - Long Range Arena
- Comparison: Outperforms both standard self-attention and the baseline FNet.
- Key Advantage: Adaptive modulation in the frequency domain allows dynamic token mixing, some studies suggest that operating in the frequency domain allows for better expressivity.

			*	0					
	FFTNetViT (No-Windowing)			FFTNetViT (With Windowing)			ViT		
Variant	FLOPs	Top-1 (%)	Top-5 (%)	FLOPs	Top-1 (%)	Top-5 (%)	FLOPs	Top-1 (%)	Top-5 (%)
Base	22.64	<b>79.6</b> ↑ 0.2%	<b>94.9</b> ↑ 0.1%	22.64	<b>79.8</b> ↑ 0.4%	<b>95.0</b> ↑ 0.2%	36.65	79.4	94.8
Large	79.92	$82.1 \uparrow 0.3\%$	<b>96.2</b> ↑ 0.2%	79.92	$82.3 \uparrow 0.5\%$	96.3 ↑ 0.3%	127.18	81.8	96.0
Huge	166.14	83.2↑0.3%	<b>96.8</b> ↑ 0.2%	166.14	<b>83.4</b> ↑ 0.5%	<b>96.9</b> ↑ 0.3%	261.39	82.9	96.6

Model	ListOps	Text	Retrieval	Image	Pathfinder	Path-X	Avg.
Transformer	36.06	61.54	59.67	41.51	80.38	OOM	55.83
FNet	35.33	65.11	59.61	38.67	77.80	FAIL	55.32
FFTNet (No-Windowing)	37.65	66.01	60.21	42.02	80.71	83.25	58.31
FFTNet (With Windowing)	38.02	66.25	60.64	42.45	80.99	83.64	58.83

#### Latency Comparison



**Latency:** FFTNet demonstrates lower latency compared to standard self-attention, enabling faster inference.

#### Conclusion & Future Work

**Conclusion:** FFTNet demonstrates that global token mixing can be achieved in  $\mathcal{O}(n \log n)$  without losing model capacity.

#### **Future Directions:**

- Explore higher-dimensional FFTs for spatial-temporal data.
- Investigate alternative nonlinear functions in the frequency domain.
- Apply FFTNet blocks to large-scale language modeling and video tasks.